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Anna Rogers (ITU)

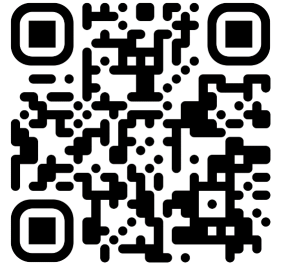
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SCOR Chair on mortality research (Workshop)

# Using Sequences of Life-events to Predict Human Lives



# About Me



- Originally from Latvia
- MSc in Human-Centered AI (DTU)
- PhD in **Computational Social Science** (DTU)
- (Previously) Lecturer in **Algorithmic Fairness, Accountability, and Ethics** (ITU)
  
- Upcoming **Postdoctoral Associate Researcher** at the Khoury College of Computer Sciences (Northeastern University):
  - *Trustworthy Network Science*
  - *Fair and Just Machine Learning*
  - *Team Formation Problem*

# Using sequences of life-events to predict human lives

[Germans Savcisens](#), [Tina Eliassi-Rad](#), [Lars Kai Hansen](#), [Laust Hvas Mortensen](#), [Lau Lilleholt](#), [Anna Rogers](#), [Ingo Zettler](#) & [Sune Lehmann](#) 

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**Code Availability:** [SocialComplexityLab/life2vec](#) (github.com)  
[carlomarxdk/life2vec-light](#) (github.com)

## Main contributions of the research:

1. **Propose a framework** (*transformer-based*) to analyze large-scale socioeconomic and health data
2. Demonstrate the **power of dense representation**
3. **Adapt explainability methods** to understand predictions

# Agenda

**Introduction**

**Part I: Data**

**Part II: Representation Learning and NLP**

**Part III: Forming Labour and Health Language**

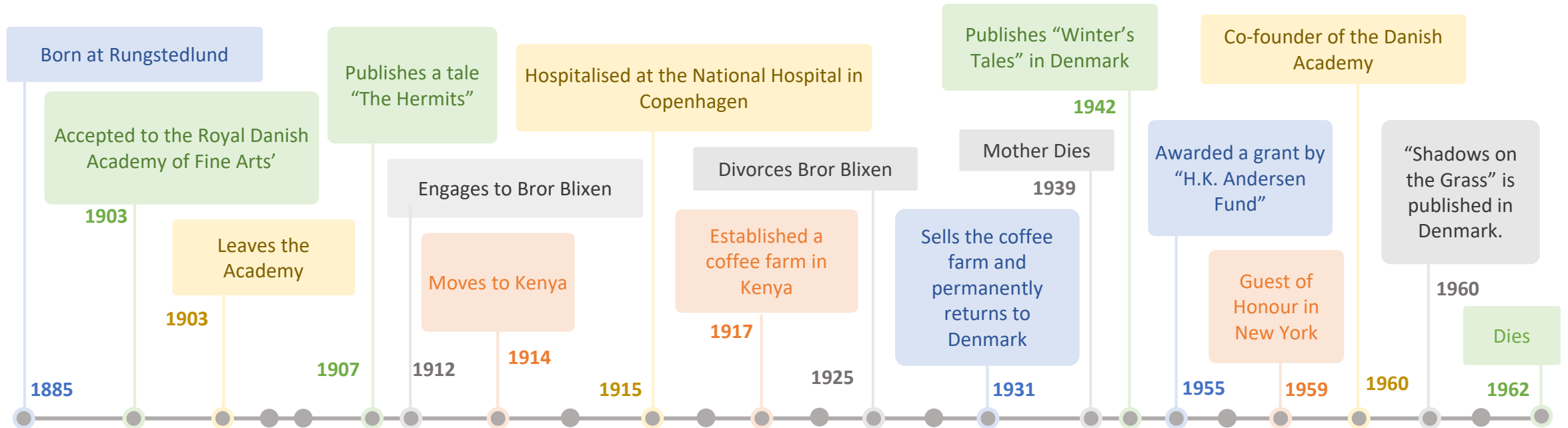
**Part IV: Capturing the structure with the `life2vec`**

**Part V: `life2vec` as a foundation model**

**Conclusion**

# Life Trajectories

*Life of Karen Blixen\* (Danish author)*



\* simplified

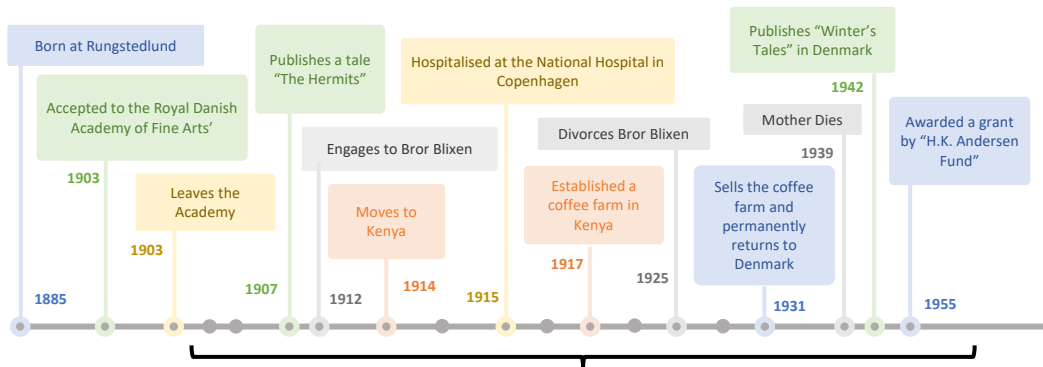
# The Problem

Issues associated with **longitudinal data modelling**:

- Features have **mixed formats** (continuous and categorical).
- Various data sources
- Events have an “**uneven**” sampling rate.
- **Missing values**
- **The number of records** per person **varies** a lot

**Classical models are not that good at handling it!**

# The Problem



## *Simplifying data*

- How many times admitted to a hospital?
- Career changes?
- Traveling abroad?

Travelled within a year	...	Married	Hospital Admission
1	...	1	2

Model 1

Probability of readmission to a hospital?

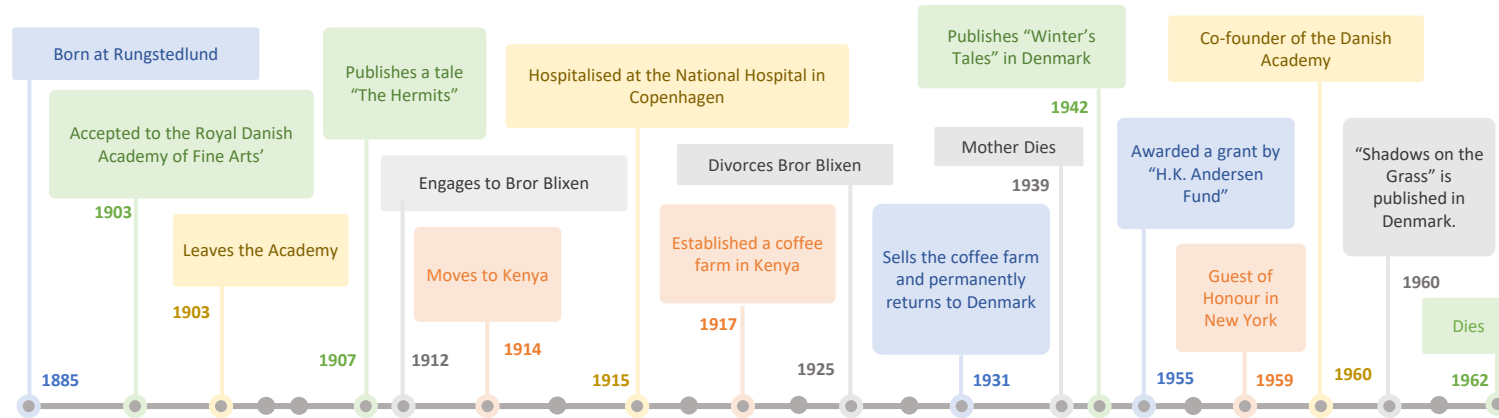
Model 2

Income level within the next year?

...

Model N

\* simplified



We want a **single** model that takes **nuanced life trajectories**

**General Purpose Model**



Compressed **representation of life** progression

**Predict the human behaviour**  
(on an *individual* level)

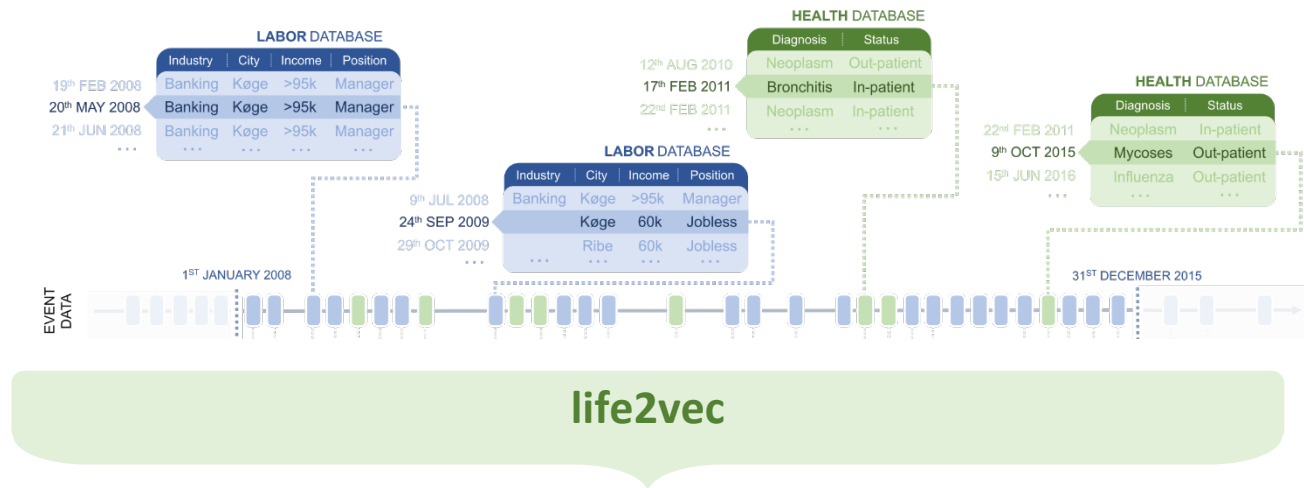
**Study sociological phenomena**  
(on a *global* scale)

**Give comprehensive insight into the data**



# Our Work

We are **not there yet**,  
 ...but we have done the **first steps**



## Main Components:

Text like encoding of data



Large Language Model



Part I

# Life-Trajectories and Data

# Danish National Registry

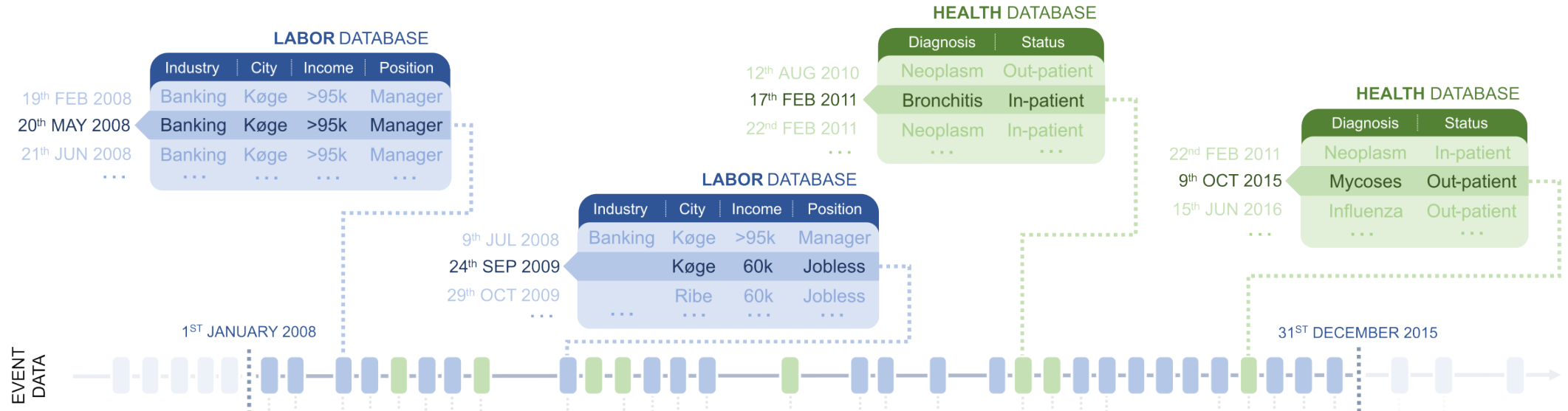
	<p><b>People</b> Names, population, health, elections, housing, church, gender equality...</p>
	<p><b>Social conditions</b> Criminal offences, social benefits for senior citizens, cash benefits, placements...</p>
	<p><b>Transport</b> Cars, goods transport, passenger transport, infrastructure, traffic accidents...</p>



	<p><b>Labour and income</b> <u>Employment, unemployment, earnings, income, wealth...</u></p>
	<p><b>Education and research</b> Number of students, education programmes, innovation...</p>
	<p><b>Culture and leisure</b> Film, media, museums, music, digital behaviour, sports...</p>

**Personal raw data is tied to the Social Security Number (CPR)**

\*\*AI-Generated Image



**Labour Data**

**Health Data**

Detailed reconstruction of labor and health life trajectories



# Labor Data: Hierarchies

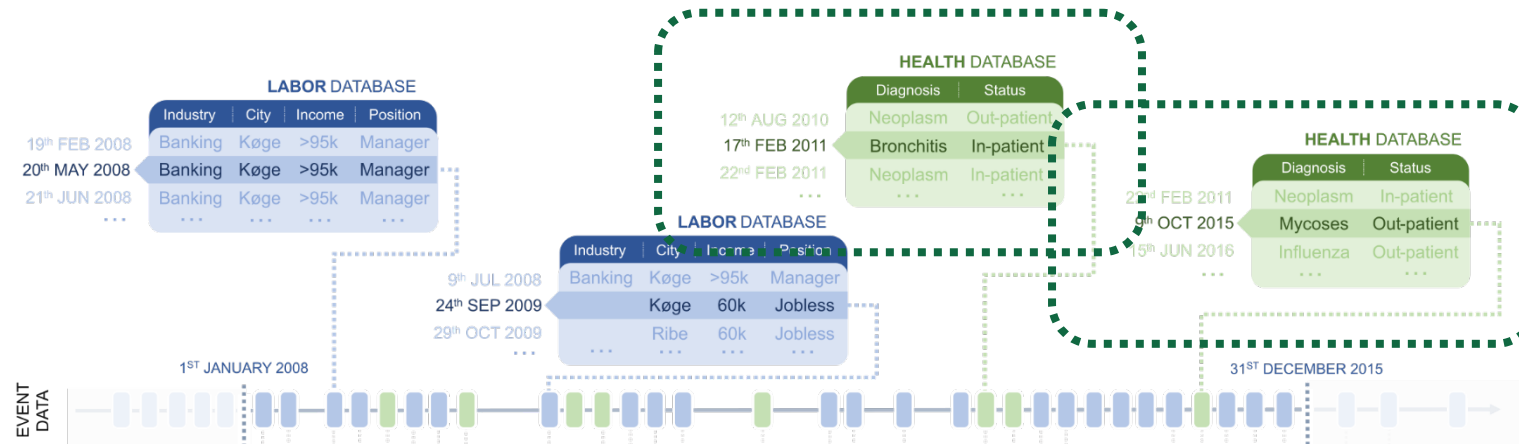
## Example of codes describing the **Industry**

DB07 Code	Interpretation
<b>C</b>	Manufacturing
<b>18</b>	Printing and Reproduction of Recorded Media
<b>18.1</b>	Printing and Related Services
<b>18.14</b>	Bookbinding and Similar Services

## Example of codes describing the **Occupation**

ISCO-08 Code	Interpretation
<b>2</b>	Professionals
<b>26</b>	Legal, Social and Cultural Professional
<b>265</b>	Creative and Performing Artists
<b>2654</b>	Dancers and Choreographers

# Health Data



## Records of visits to a health practitioner or hospital:

- Focus on 3 features
- Diagnoses encoded in the ICD10 System

## Features we use:

- **Diagnosis** (Initial, no follow-ups)
- **Patient type:** inpatient, outpatient, and emergency
- **Urgency:** Urgent, Non-urgent

# Health Data: ICD-10

ICD-10 Code	Interpretation
<b>S01</b>	Open wound of head
S01.3	Open wound of ear
S01.35	Open bite of ear
S01.352	Open bite of left ear
S01.352D	Open bite of left ear (subsequent encounter)

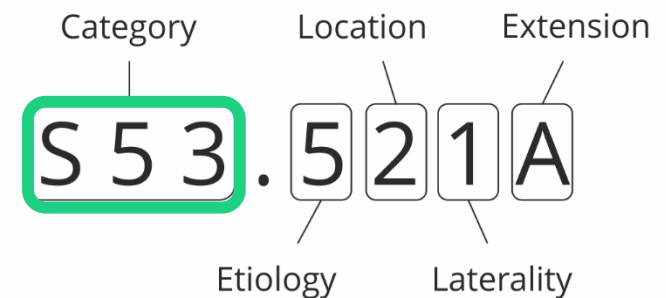
Examples of ICD10 codes:

**Y93.D:** Activities involved arts and handcrafts

**W61.62XD:** Struck by duck, subsequent encounter

**H47.51:** Disorders of visual pathways in (due to) inflammatory disorder

## ANATOMY OF AN ICD-10 CODE



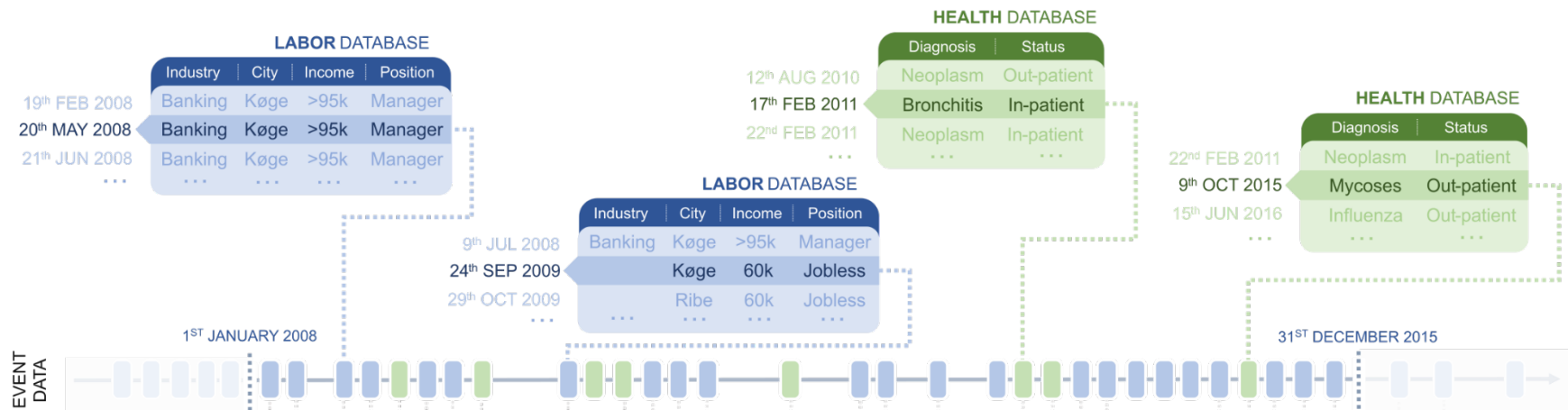
ICD-10 code for torus fracture of lower right end of right radius, initial encounter for closed fracture



# Power of National Registry

The National Registry is a source of **fine-grained information** about **the progression of one life**.

Unique possibility to study life progression and life outcomes.



How do we analyze?

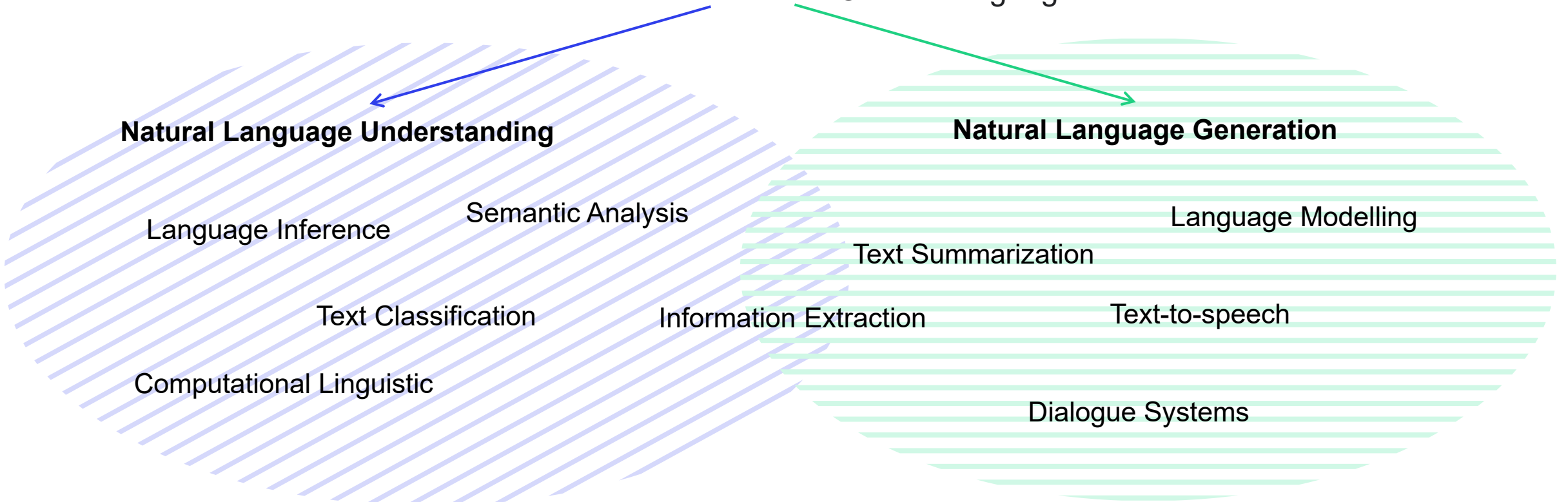
Part II

# Representation Learning and NLP

# Natural Language Processing

*“[...] the application of computational techniques to the **analysis** and **synthesis** of natural language and speech.”*

- Oxford Languages



# Language and Machines

*“Everything was beautiful and nothing hurt”<sup>1</sup>*



**\*\*AI-Generated Image**

1. Slaughterhouse-Five, Kurt Vonnegut (1969)

# Language and Machines

*“Everything was beautiful and nothing hurt”*<sup>1</sup>



Create a numerical representation of the text!



a	...	and	...	beautiful	...	everything	...	hurt	...	no	nothing	...	was	...	zyzzyva
0	...	1	...	1	...	1	...	1	...	0	1	...	1	...	0



**Computers can work with numbers**

1. Slaughterhouse-Five, Kurt Vonnegut (1969)

# Language and Machines

a	...	and	...	beautiful	...	everything	...	hurt	...	no	nothing	...	was	...	zyzzyva
0	...	1	...	1	...	1	...	1	...	0	1	...	1	...	0

If we reconstruct the sentence



“Beautiful was nothing and everything hurt”

“Everything beautiful hurt and was nothing”

“Everything hurt nothing and was beautiful”

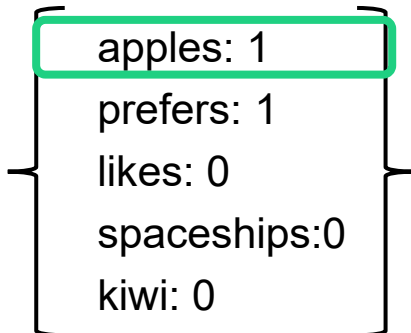
# Language and Machines

It is even more obvious issues if we look here.

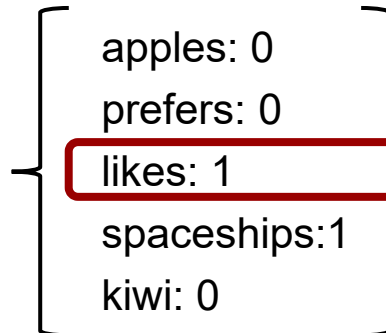
*Let's match people based on their description*



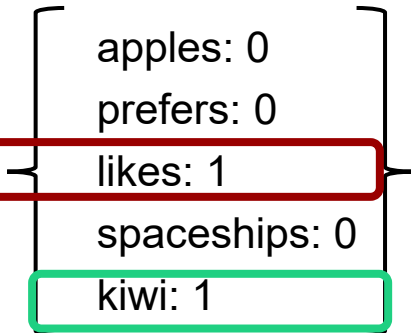
“Viktor prefers apples”



“Maria likes spaceships”



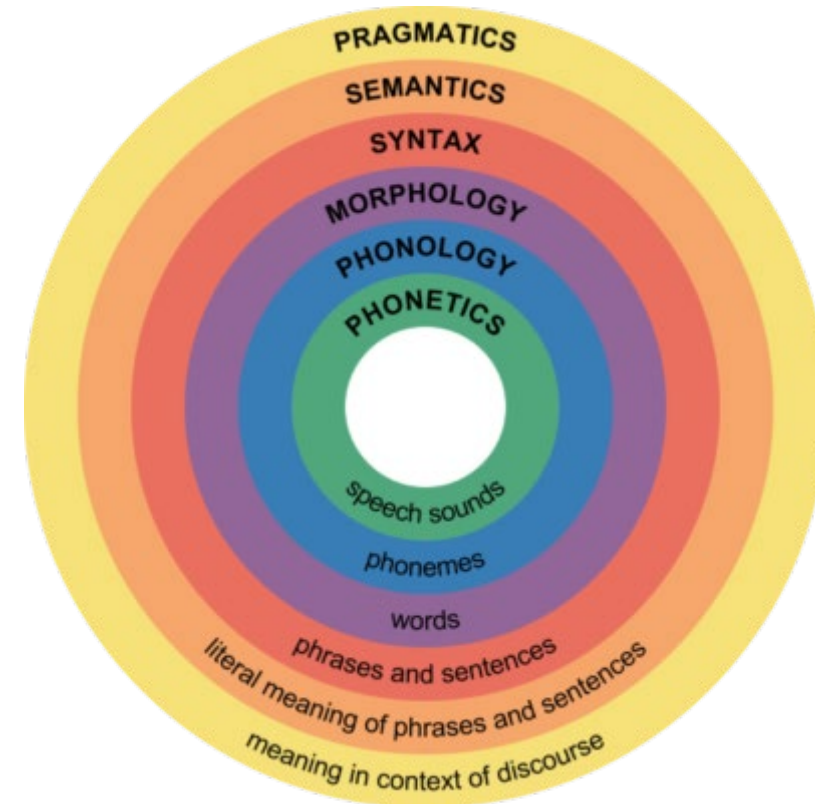
“Susanne likes kiwi”



1. Slaughterhouse-Five, Kurt Vonnegut (1969)

# Complexity of Language

**Language is a super complex signal...**  
*...and it inherits many issues associated with the longitudinal data.*

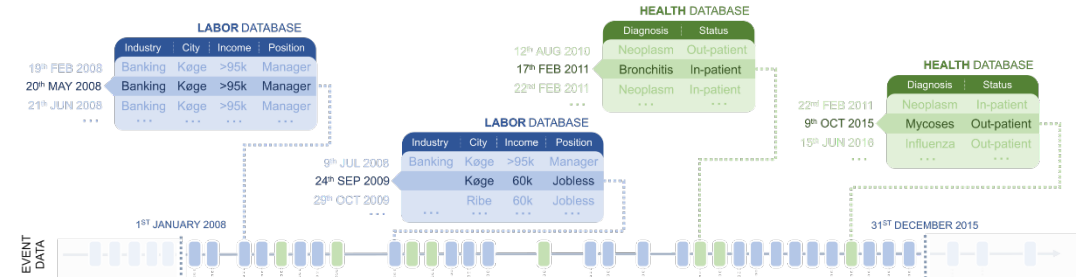


*Image:* Luchmee, D. (2019, July 25). *The Complex Skill of Language*. HappyNeuron. Retrieved March 5, 2024, from <https://news.happyneuronpro.com/the-complex-skill-of-language/>



# Language and Life Sequences

*“Everything was beautiful and nothing hurt”*



These two cases have similar issues!

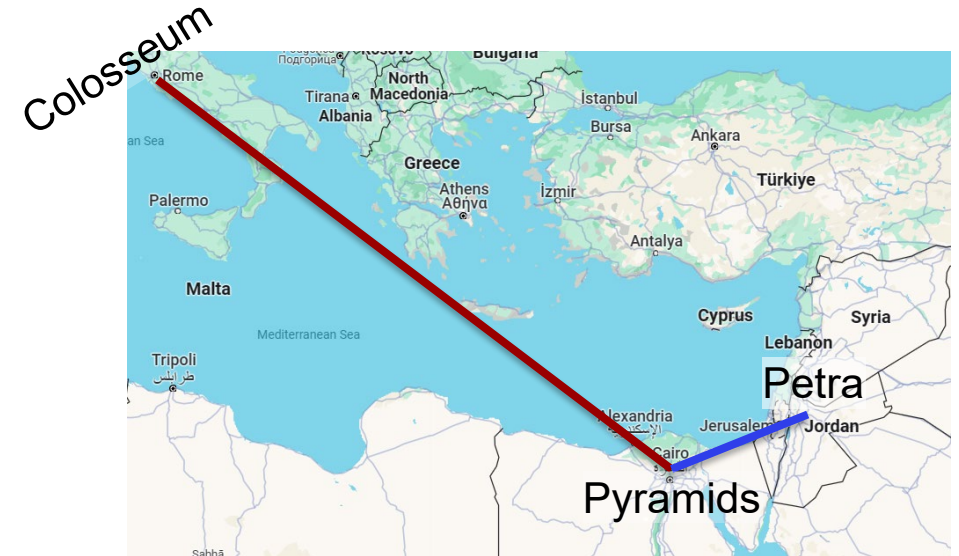
**The field of NLP has two great solutions!**

**Word Representations**  
*Captures aspects of words*

**Large Language Models**  
*Handles structured sequences*

# Representation of Places

	longitude*	latitude*
Great Pyramid	31.08	29.58
Petra	30.19	35.26
Machu Picchu	13.09	35.26
Colosseum	12.29	41.53



These values **capture spatial location**,  
and allow us to **reason about the distances** (“*similarity*”).

\* *simplified*

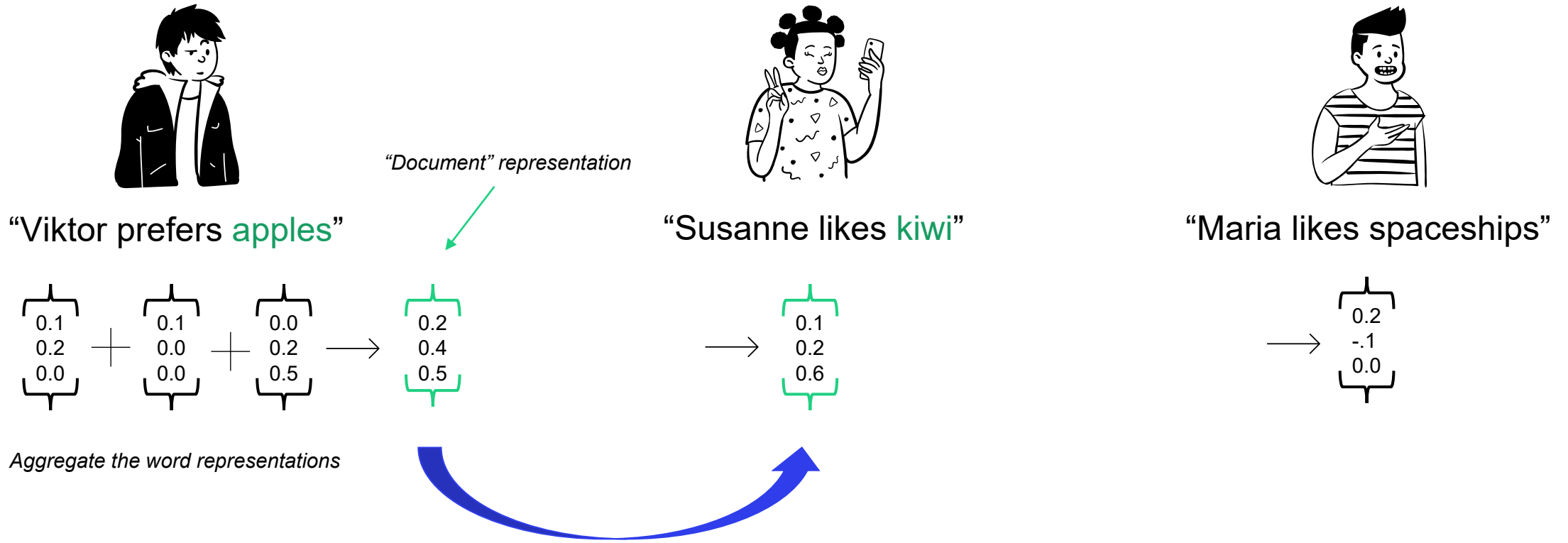
# Word Representations

**Solution in NLP:** Take a step back and assign **coordinates** to **words** (capture meaning)

	<b>liveliness</b>	<b>vehicle-(ness)</b>	<b>artificiality</b>
spaceship	0.0	1.0	1.0
apple	<b>0.3</b>	0.0	0.2
kiwi	<b>0.3</b>	0.0	0.3
dog	1.0	0.3	0.1

# Representation of Documents

Using these nuanced word embeddings, we can create document embeddings



# *Learning* Embeddings

**We can employ different methods to create the word embeddings:**

1. **Manually** assign values to each dimension (based on questionnaires)
2. **Frequency-based**: Count-Vectors, TF-IDF, N-grams
3. **Prediction-based**: SkipGram, CBOW, GLoVE, by-products of training ML algorithms (e.g. RNNs)

# Embedding Spaces and Structure

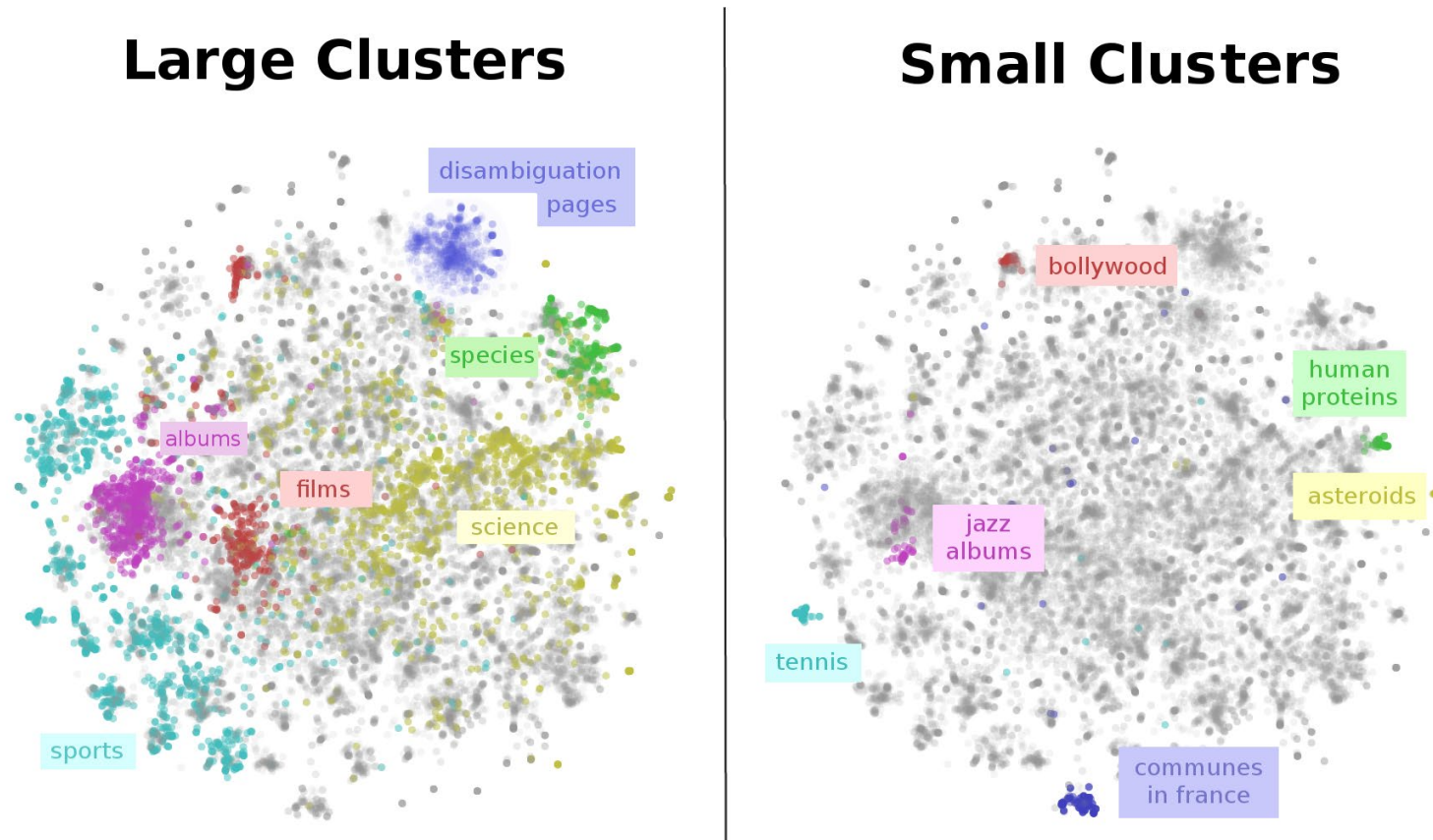
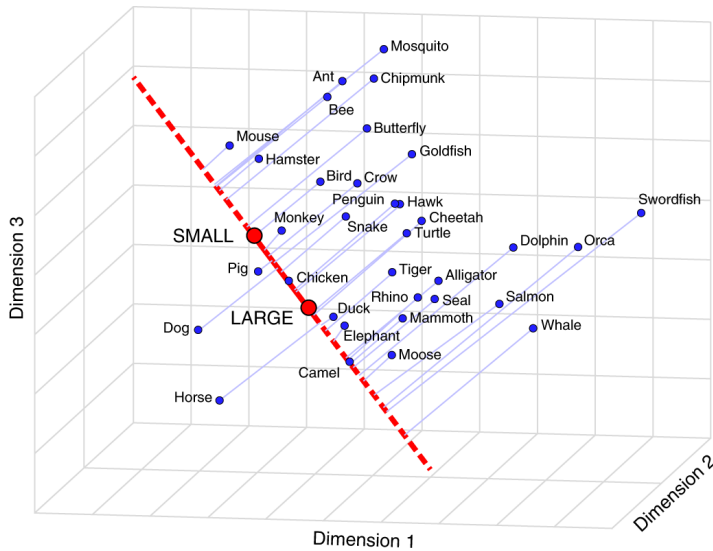


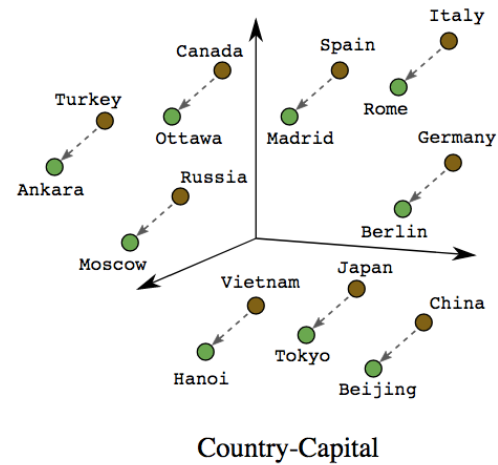
Fig 1: Two-dimensional projection of the word embeddings (word2vec)<sup>1</sup>

1. Olah, C. (2015, January 16). *Visualizing Representations: Deep Learning and Human Beings*. Colah's Blog. Retrieved March 3, 2024, from <https://colah.github.io/posts/2015-01-Visualizing-Representations/>

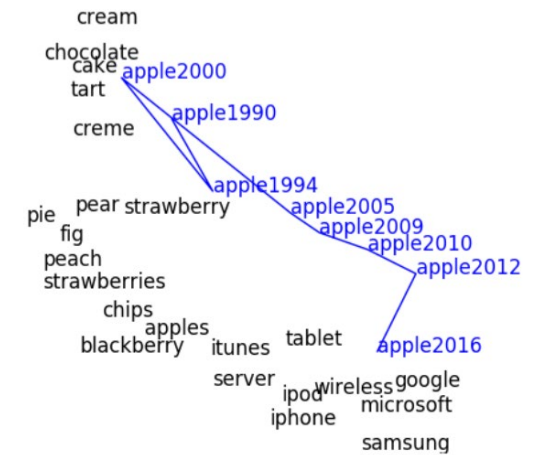
# Embedding Spaces and Structure



**Fig.1: Schematic illustration of semantic projection<sup>1</sup>**  
*In the embedding space (GloVe), “animal”-related words projected onto the “small-large” direction*



**Fig.2: Embeddings can produce remarkable analogies<sup>2</sup>**



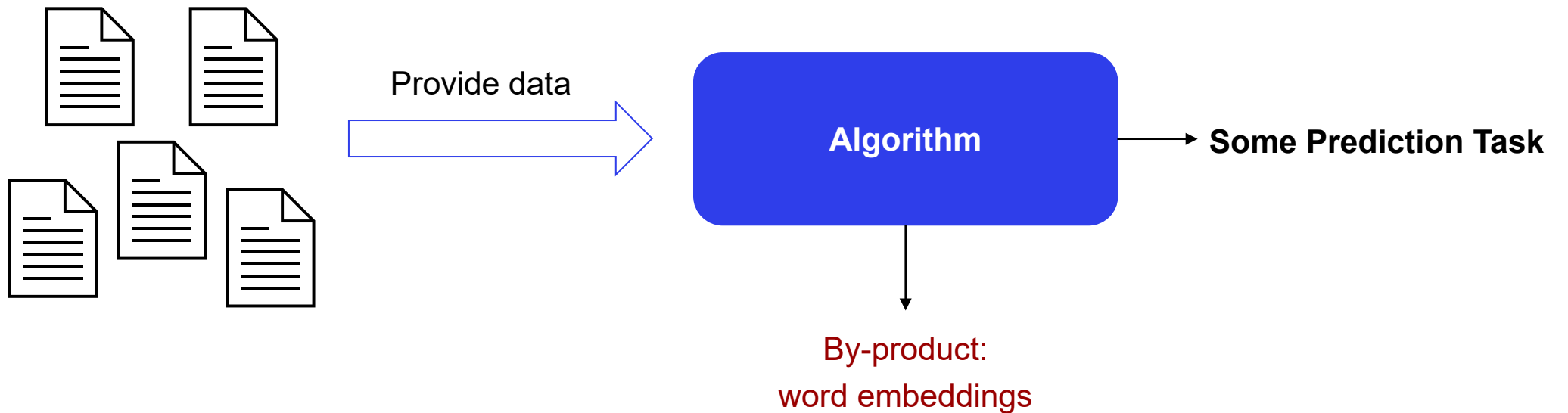
**(a) apple**

**Fig.3: Trajectories of brand names<sup>3</sup>**  
*Temporal evolution of terms with word2vec*

1. Grand, G., Blank, I.A., Pereira, F. *et al.* Semantic projection recovers rich human knowledge of multiple object features from word embeddings. *Nat Hum Behav* 6, 975–987 (2022). <https://doi.org/10.1038/s41562-022-01316-8>
2. *Embeddings: Translating to a Lower-Dimensional Space*. Google for Developers. Retrieved March 3, 2024, from <https://developers.google.com/machine-learning/crash-course/embeddings/translating-to-a-lower-dimensional-space>
3. Yao, Z., Sun, Y., Ding, W., Rao, N., & Xiong, H. (2018, February). Dynamic word embeddings for evolving semantic discovery. In *Proceedings of the eleventh acm international conference on web search and data mining* (pp. 673-681).

# General Purpose Embeddings

- But how to make sure that we have a **meaningful space**?
- The nature of the task influences the representations





# Transformer-based Models

Powerful Sequence Models already exist:  
**Large Language Models**

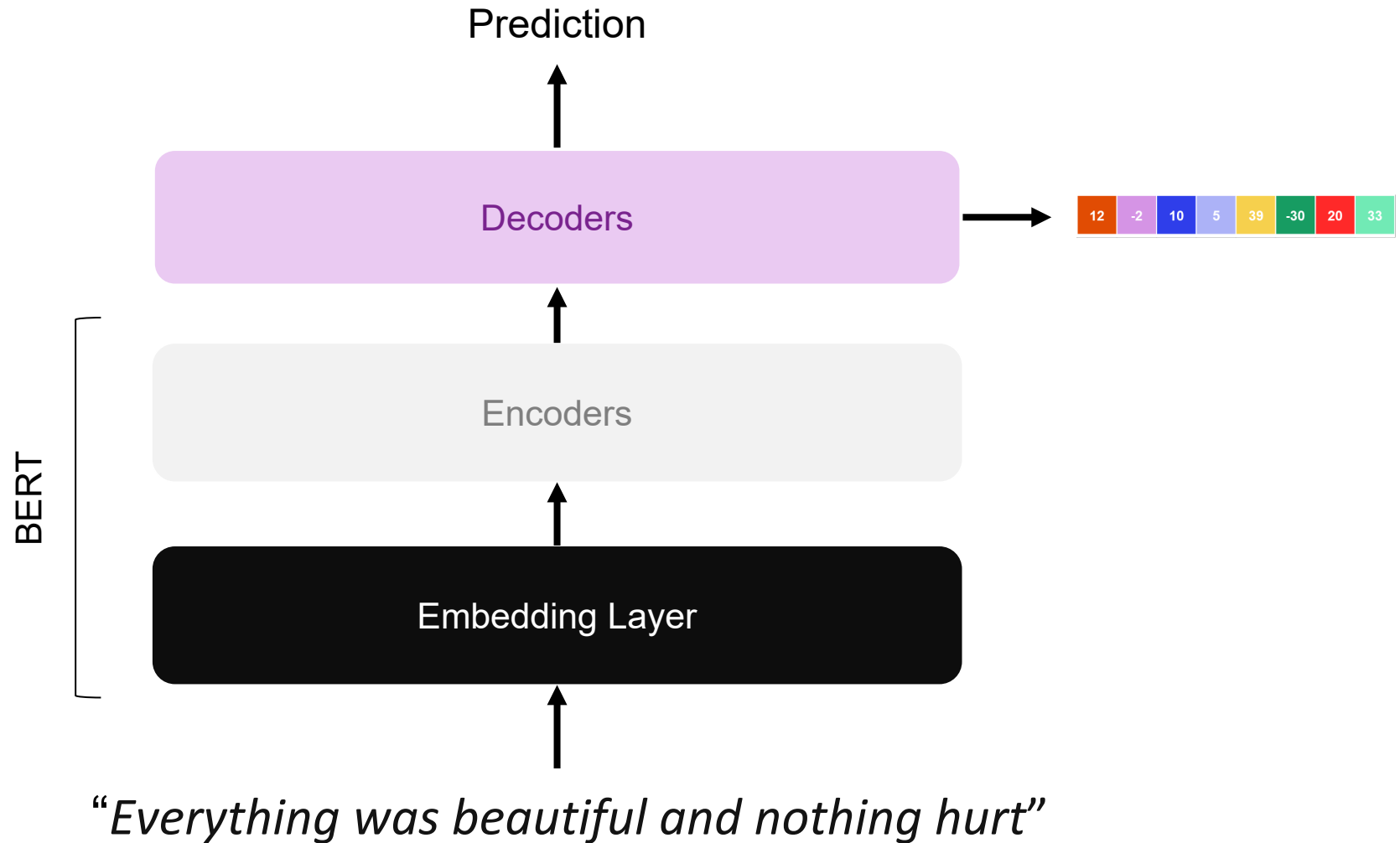
Bidirectional Encoder Representations from Transformers (**BERT**)

Create *nuanced* word  
**embeddings** and handle  
**complex sequences**

Great **predictive**  
**performance** on many  
NLP tasks

**General-purpose** model,  
adaptable to new tasks

# Transformer Architecture (BERT)



# Embedding Layer

[.0 .1 .1] [ .1 .2 .5] [ .3 .4 .4] [ .1 .1 .5] [ .0 .1 .5] [ .1 .7 .9] [ .0 .9 .8] [0. .5 .2]

↑ Aggregate (e.g. weighted average)

[.0 .1 .0] [ .1 .2 .3] [ .3 .4 .1] [ .1 .1 .1] [ .0 .1 .0] [ .1 .7 .3] [ .0 .9 .1] [0. .3 .2]

[.0 .1 .1] [ .0 .1 .2] [ .0 .1 .3] [ .0 .1 .4] [ .0 .1 .5] [ .0 .1 .6] [ .0 .1 .7] [ .0 .2 .0]

Token  
Embedding Matrix

Positions  
Embedding Matrix

Translate tokens and positions to vectors

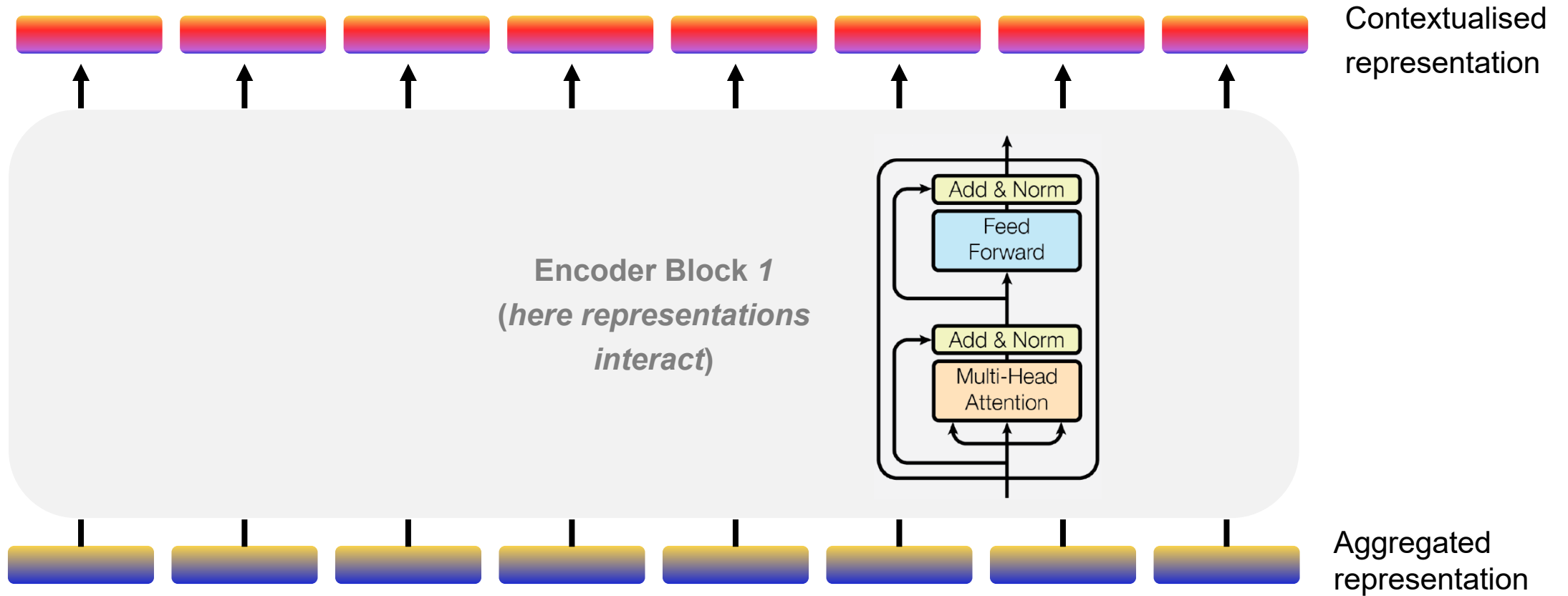
Tokens [CLS] Everything was beautiful and nothing hurt [SEP]

Token Position 0 1 2 3 4 5 6 7

↑ Tokenization

*“Everything was beautiful and nothing hurt”*

# Encoders

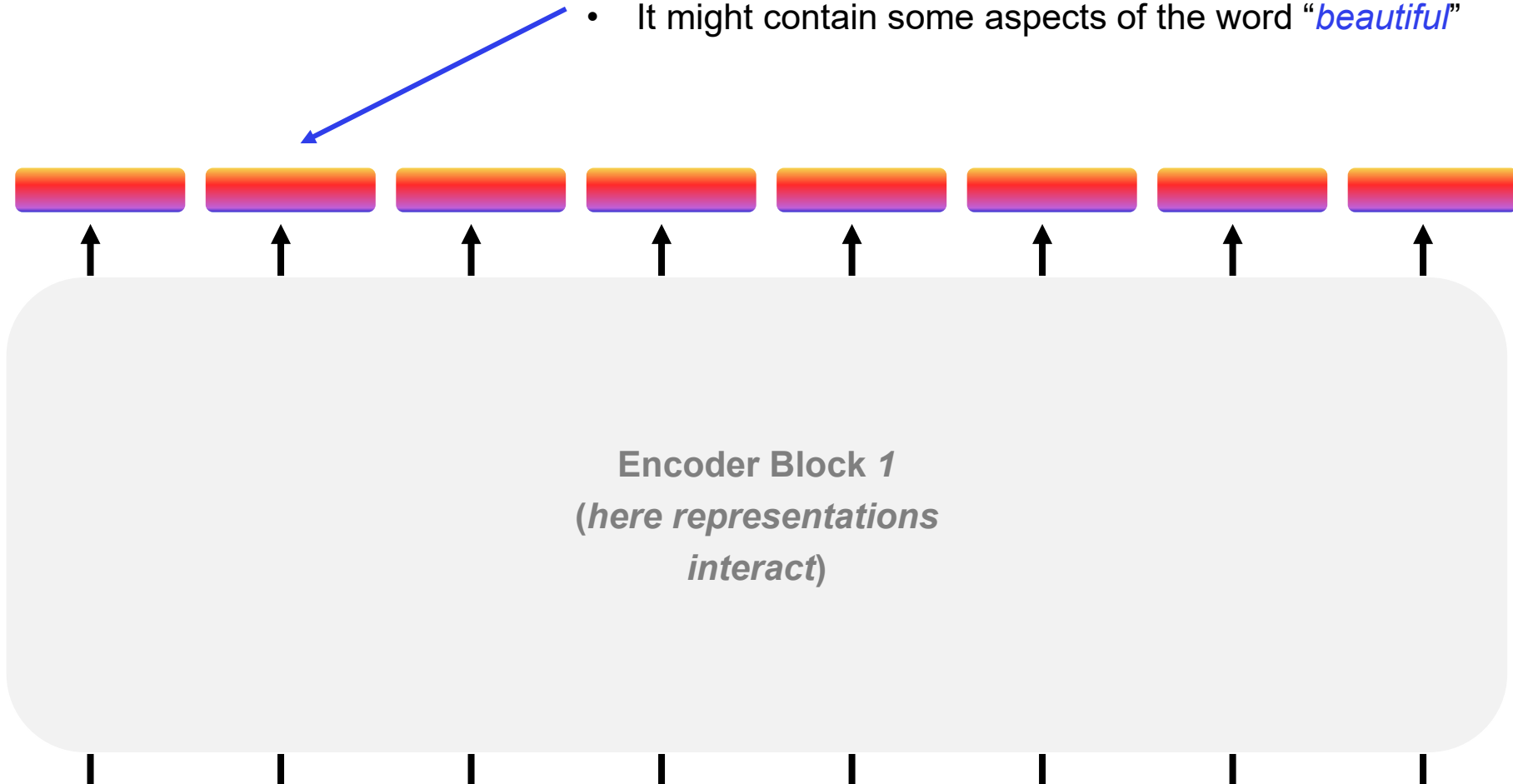


# Encoders

Representation of the word “*Everything*” is now updated with information from the sequence:

- It might contain some aspects of the word “*beautiful*”

Contextualised representations

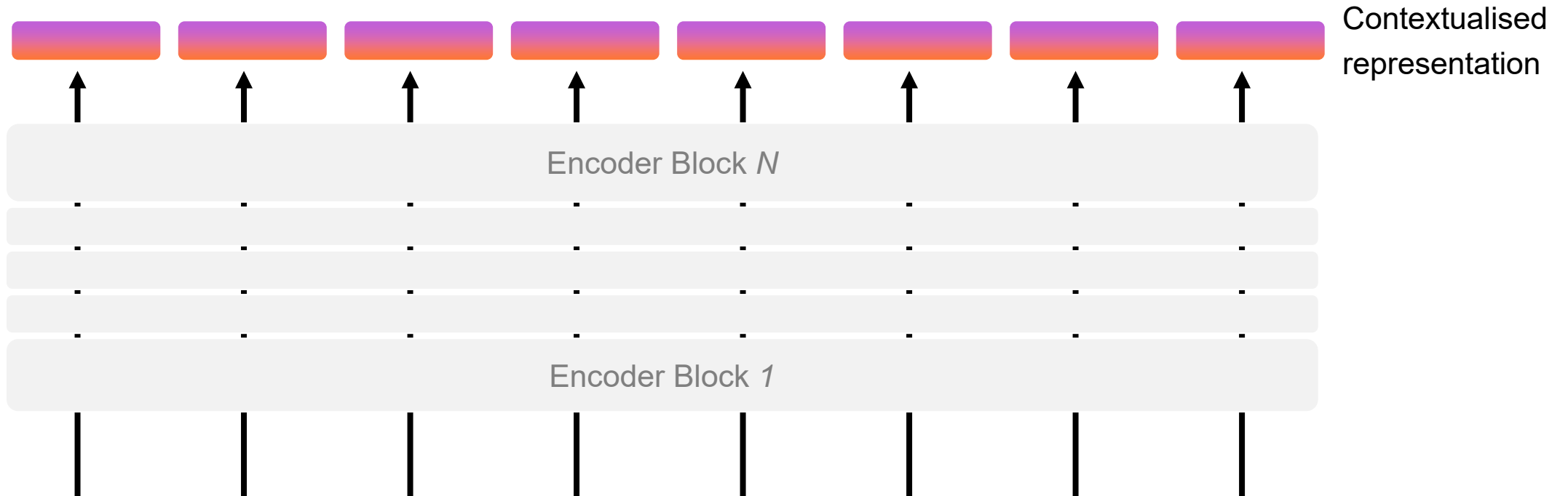


# BERT Encoders

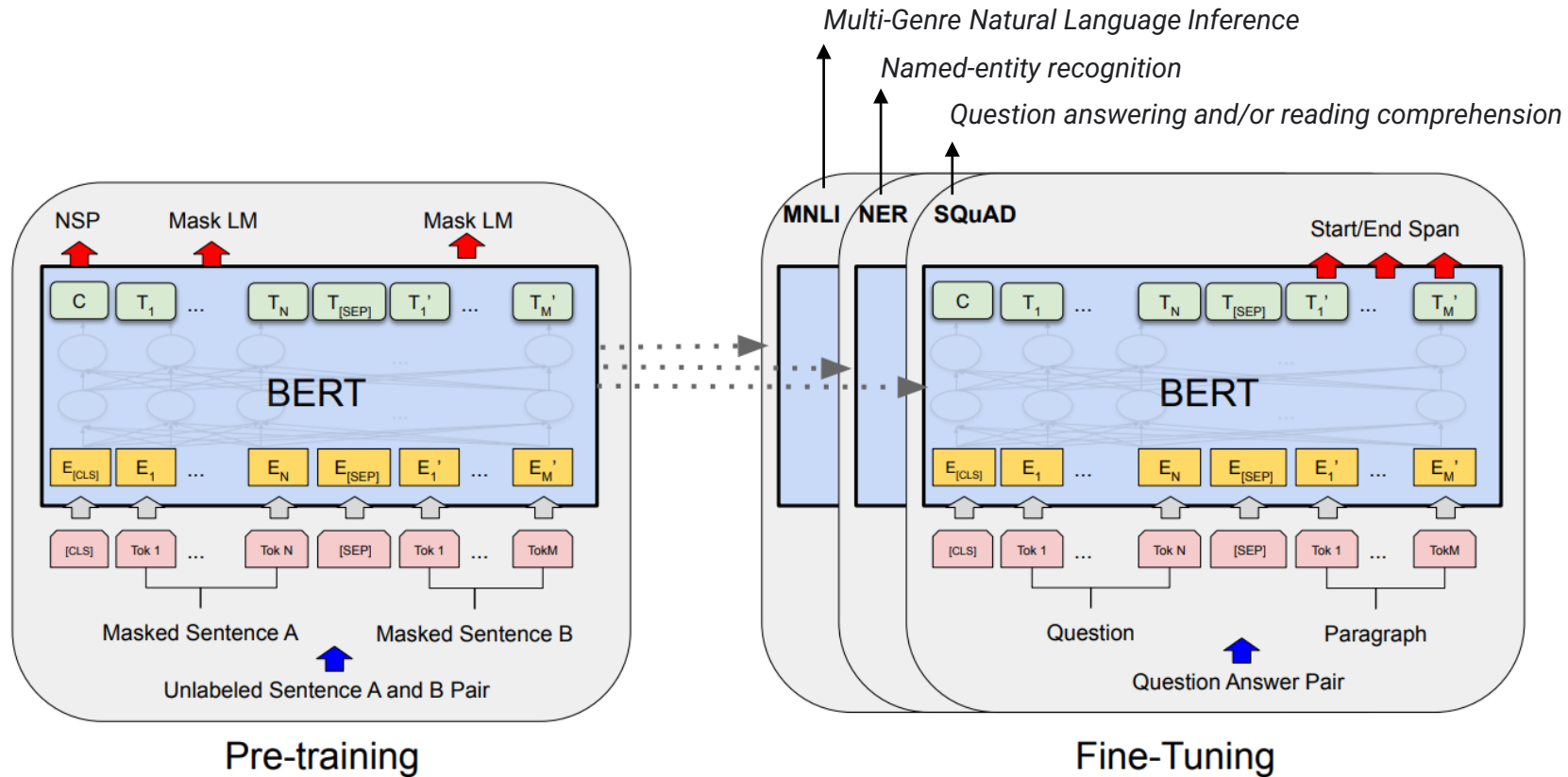
Contextualized token representations **contain rich and nuanced information** about the role of a token in a sequence.

## What you can do with the output of decoders:

- Make predictions on the first token (CLS, more about that later)
- Using any ML model



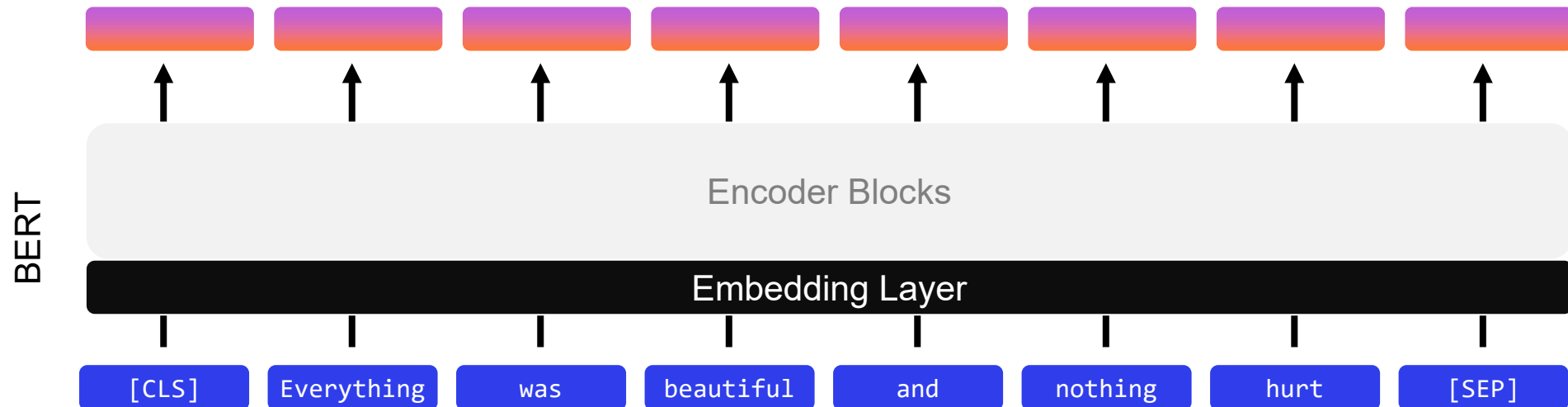
# BERT: Training Stages



Devlin, Jacob, et al. "Bert: Pre-training of deep bidirectional transformers for language understanding." *arXiv preprint arXiv:1810.04805* (2018).

# BERT: Pretraining

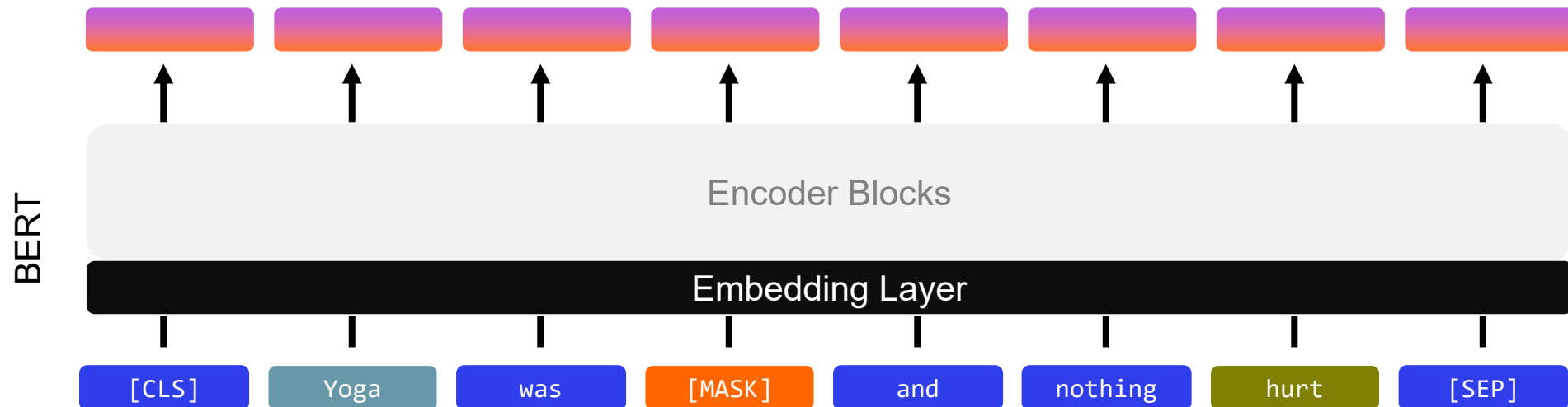
- Mask 15% of tokens (not including [PAD], [SEP], [CLS]):
  - 10% unchanged
  - 10% substituted with random tokens
  - 80% substituted with the [MASK] token





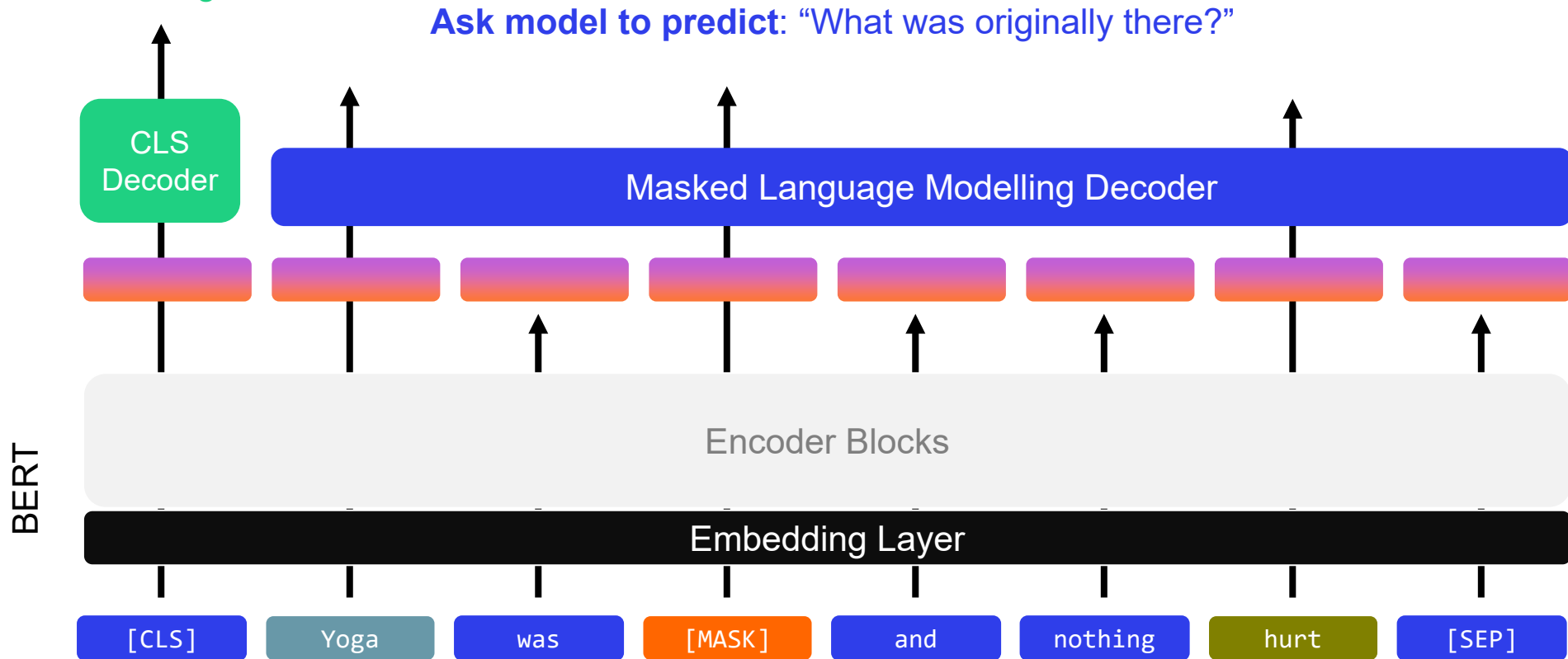
# BERT: Pretraining

- Mask 15% of tokens (not including [PAD], [SEP], [CLS]):
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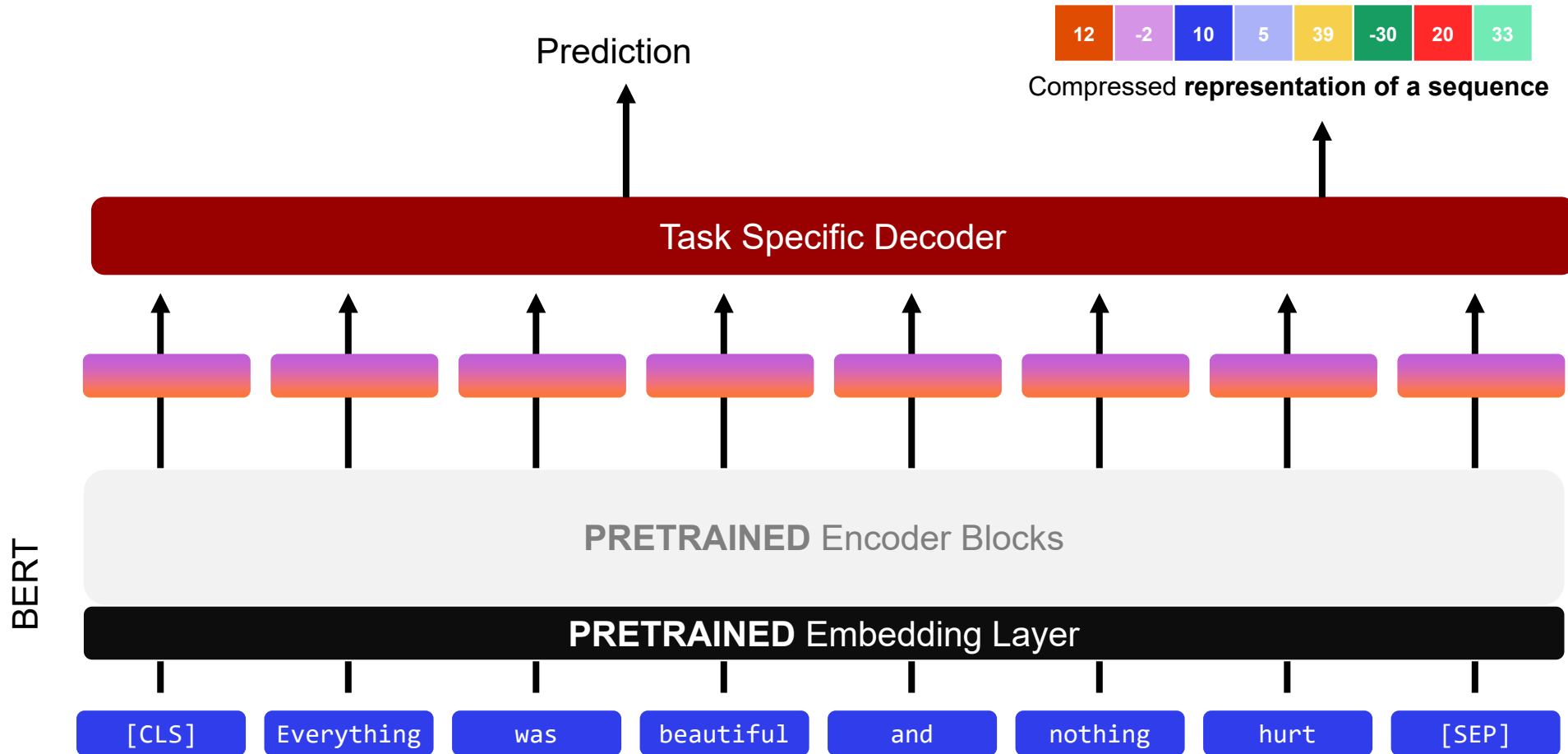


# BERT: Pretraining

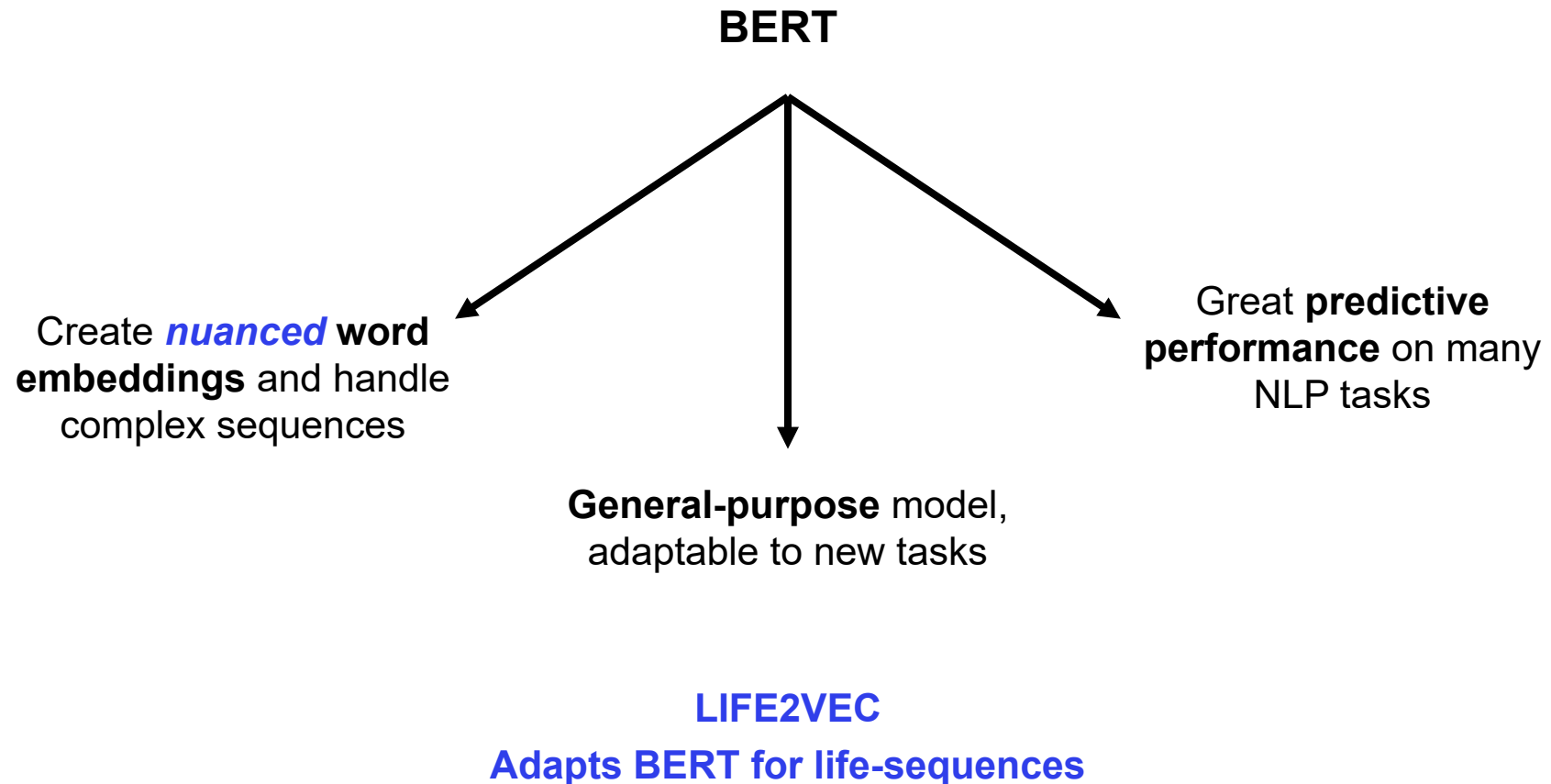
[CLS] usually has some task assigned



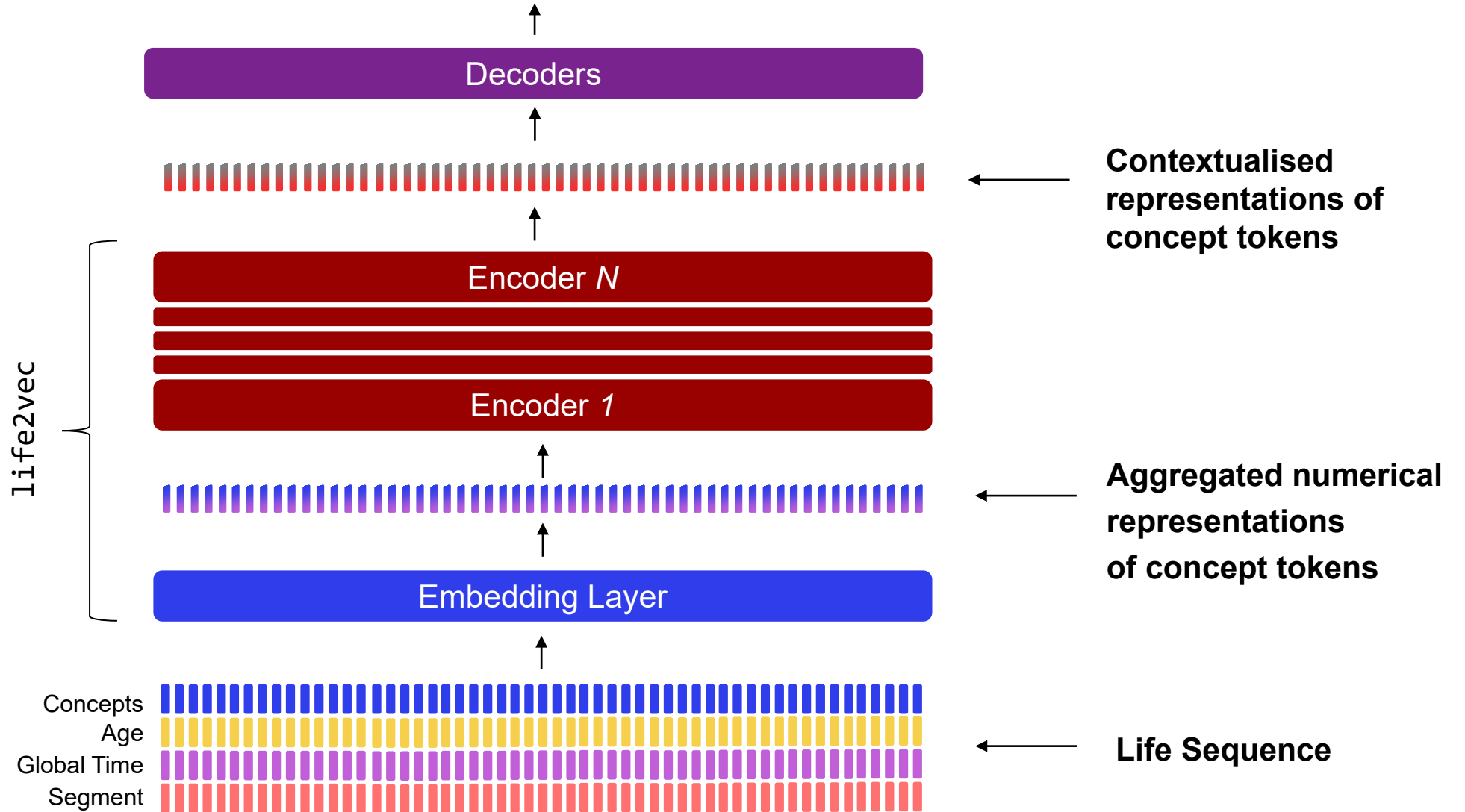
# BERT: Finetuning



# Transformer-based Models



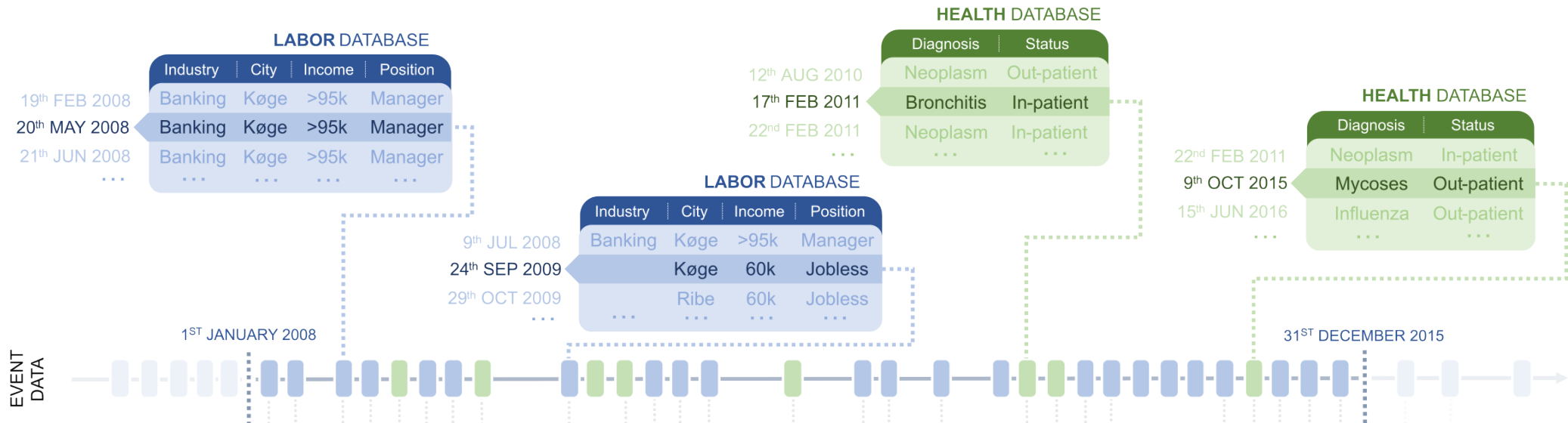
# Life2vec: Adaptation of BERT



Part III

# Creating Life- Sequences

# Unfolding the data



## Tabular to Textual Representation?

\* slightly simplified overview

# Forming a Language

	LABOR DATABASE			
	Industry	City	Income	Position
19 <sup>th</sup> FEB 2008	Banking	Køge	>95k	Manager
20 <sup>th</sup> MAY 2008	Banking	Køge	>95k	Manager
21 <sup>th</sup> JUN 2008	Banking	Køge	>95k	Manager
...	...	...	...	...

**Convey the content  
in a spoken language**

*"In May 2008, Riley received  
>95k as a manager in Bank."*

**Language allows for super flexible and nuanced communication**



# Forming a Language

LABOR DATABASE

	Industry	City	Income	Position
19 <sup>th</sup> FEB 2008	Banking	Køge	>95k	Manager
20 <sup>th</sup> MAY 2008	Banking	Køge	>95k	Manager
21 <sup>th</sup> JUN 2008	Banking	Køge	>95k	Manager
...	...	...	...	...

Convey the content  
in a spoken language

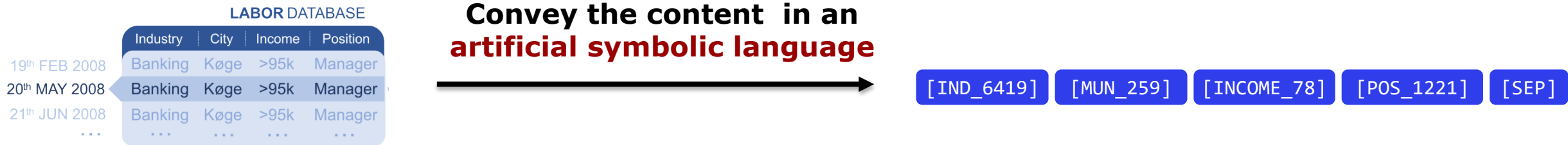


*"In May 2008, Riley received  
>95k as a manager in Bank."*

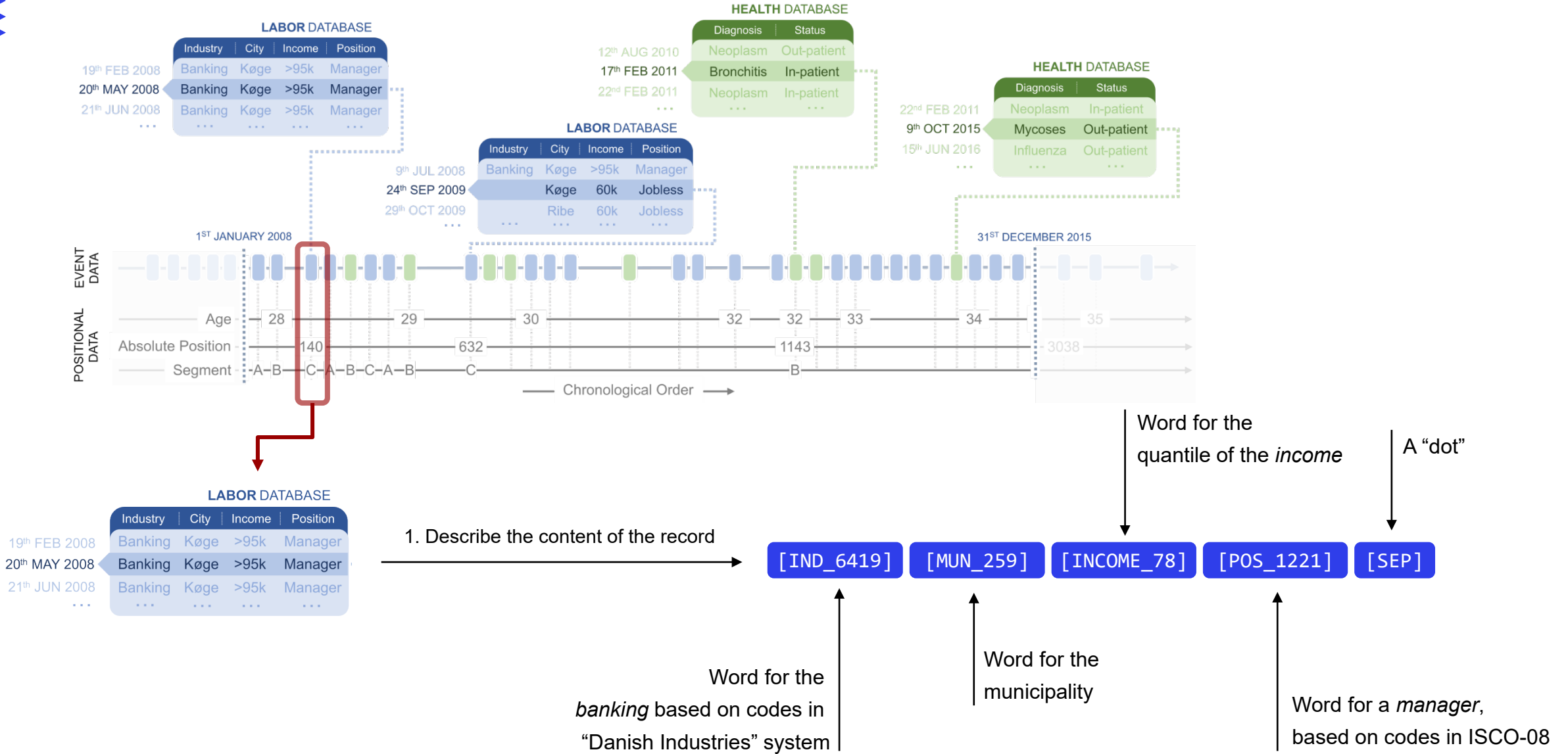
Language allows for super flexible and nuanced communication

Not all of the structure in the English  
language is of interest to us

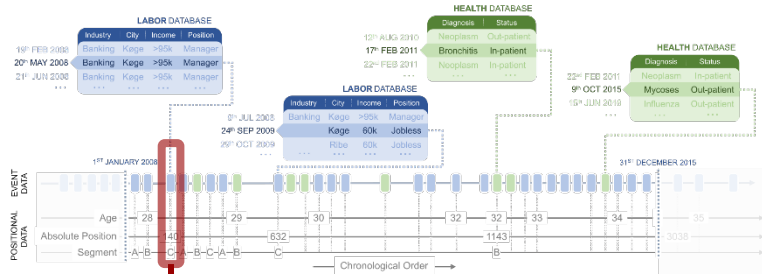
# Forming a Language



Vocabulary consists of all the possible categories that any of the variable can take



\* slightly simplified overview



**LABOR DATABASE**

	Industry	City	Income	Position
19 <sup>th</sup> FEB 2008	Banking	Køge	>95k	Manager
20 <sup>th</sup> MAY 2008	Banking	Køge	>95k	Manager
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...	...	...	...	...

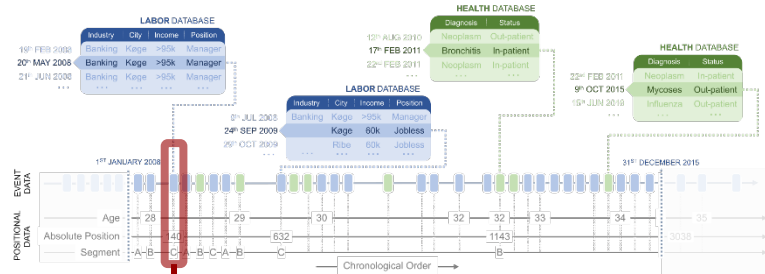
1. Describe the content of the record

- [IND\_6419]
- [MUN\_259]
- [INCOME\_78]
- [POS\_1221]
- [SEP]

2. Extract positional information about the event

- Age: 28** ← age at the time of the event
- Global timestep: 140** ← number of days since 1<sup>st</sup> Jan 2008
- Segment: C** ← additional sentence identifier

\* slightly simplified overview



**LABOR DATABASE**

	Industry	City	Income	Position
19 <sup>th</sup> FEB 2008	Banking	Køge	>95k	Manager
20 <sup>th</sup> MAY 2008	Banking	Køge	>95k	Manager
21 <sup>th</sup> JUN 2008	Banking	Køge	>95k	Manager
...	...	...	...	...

1. Describe the content of the record

[IND\_6419] [MUN\_259] [INCOME\_78] [POS\_1221] [SEP]

2. Extract positional information about the event

28	28	28	28	28
140	140	140	140	140
C	C	C	C	C

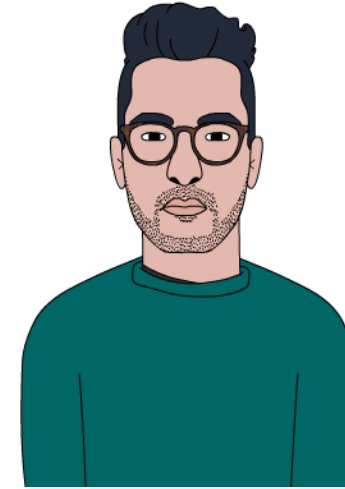
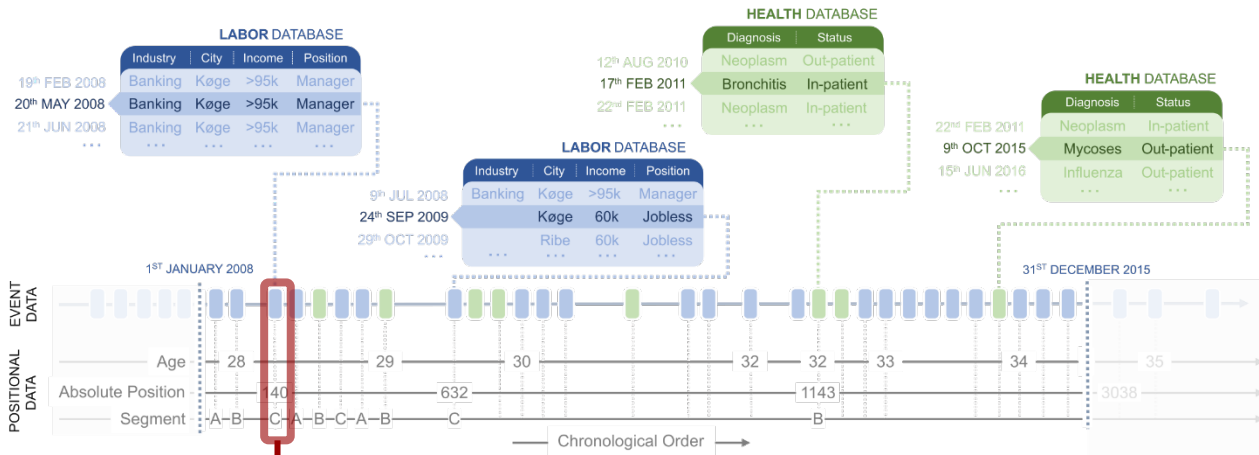
**Age: 28**

**Global timestep: 140**

**Segment: C**

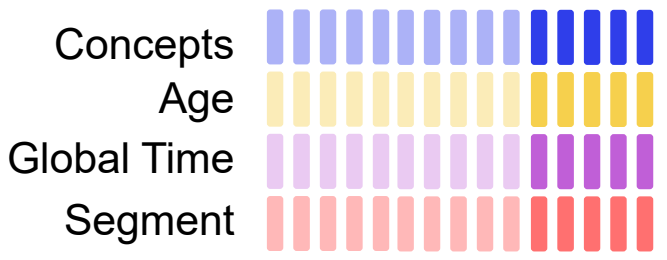
3. Assign this information to tokens

\* slightly simplified overview

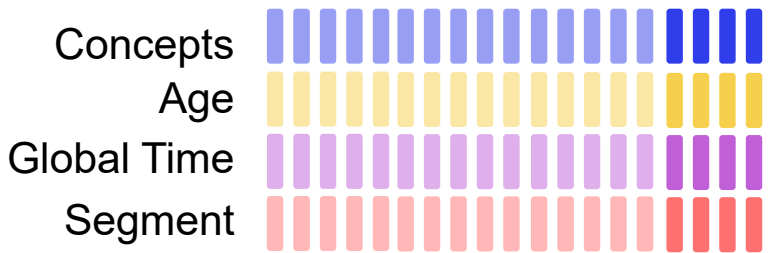
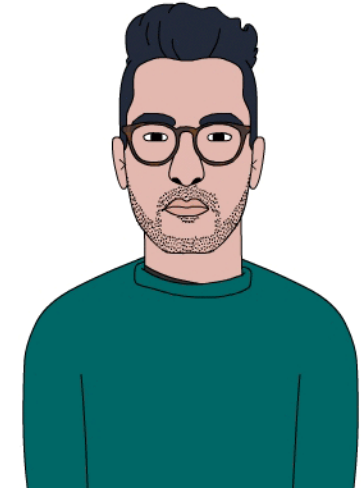
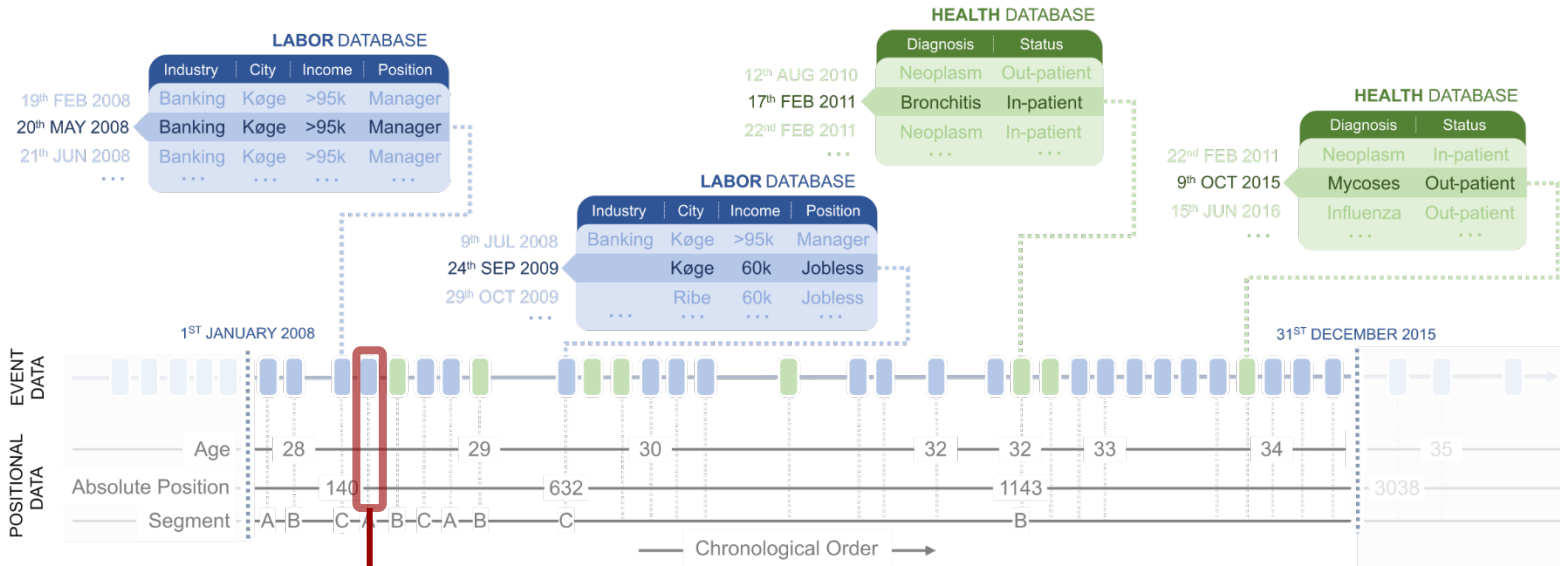


[IND_6419]	[MUN_259]	[INCOME_78]	[POS_1221]	[SEP]
28	28	28	28	28
140	140	140	140	140
C	C	C	C	C

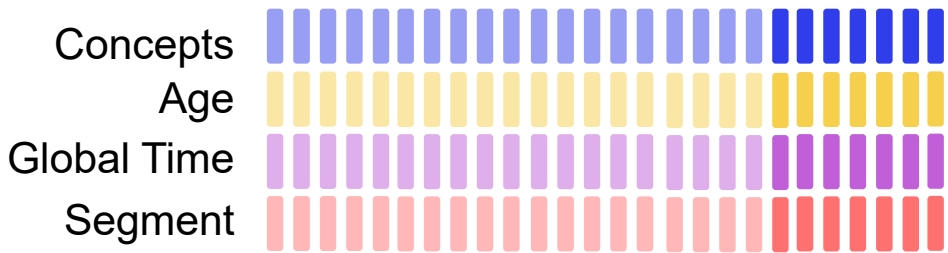
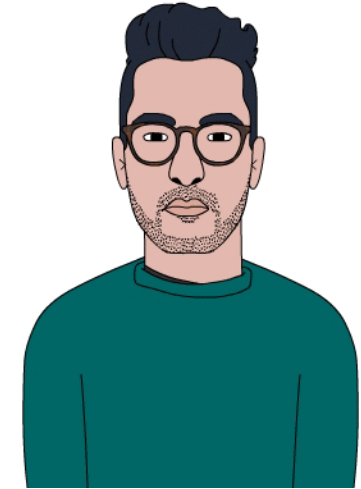
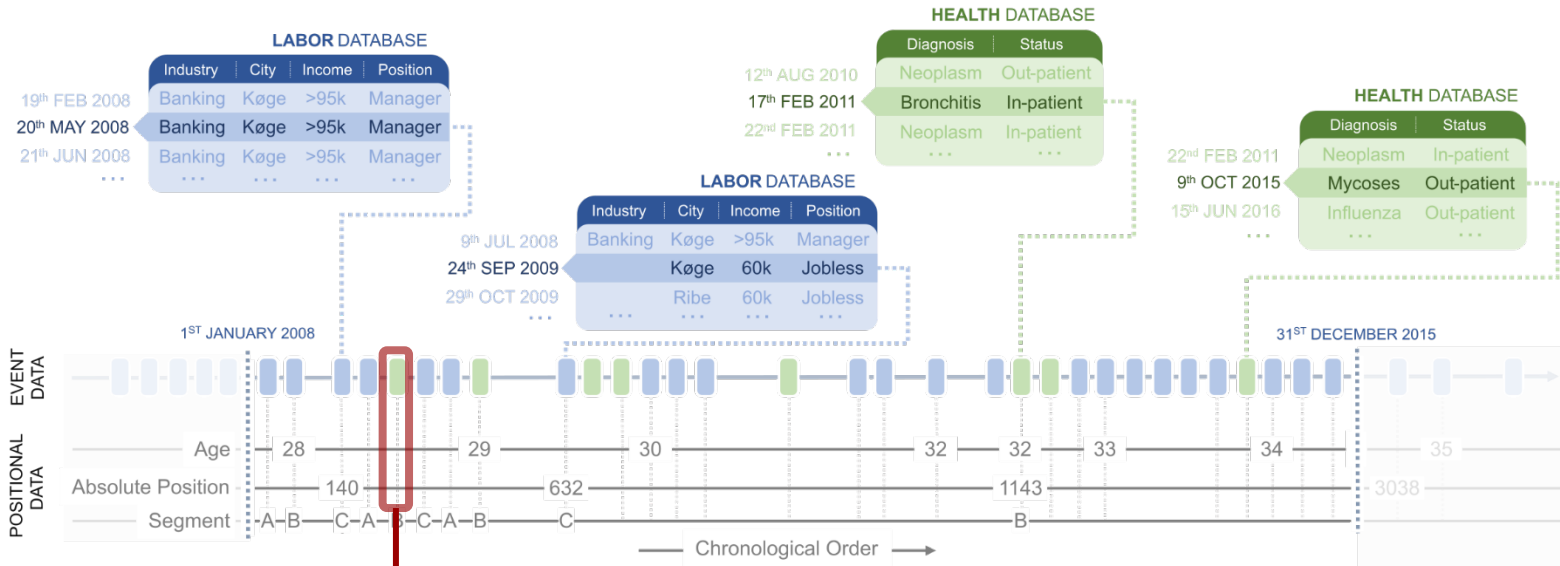
4. Insert data into the Life-Sequence (person document)



\* slightly simplified overview

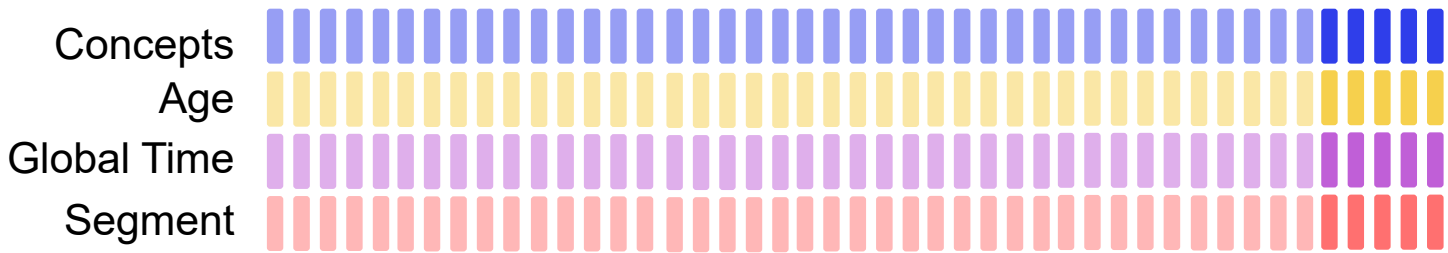
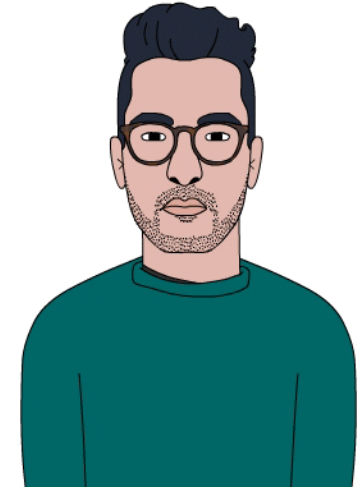
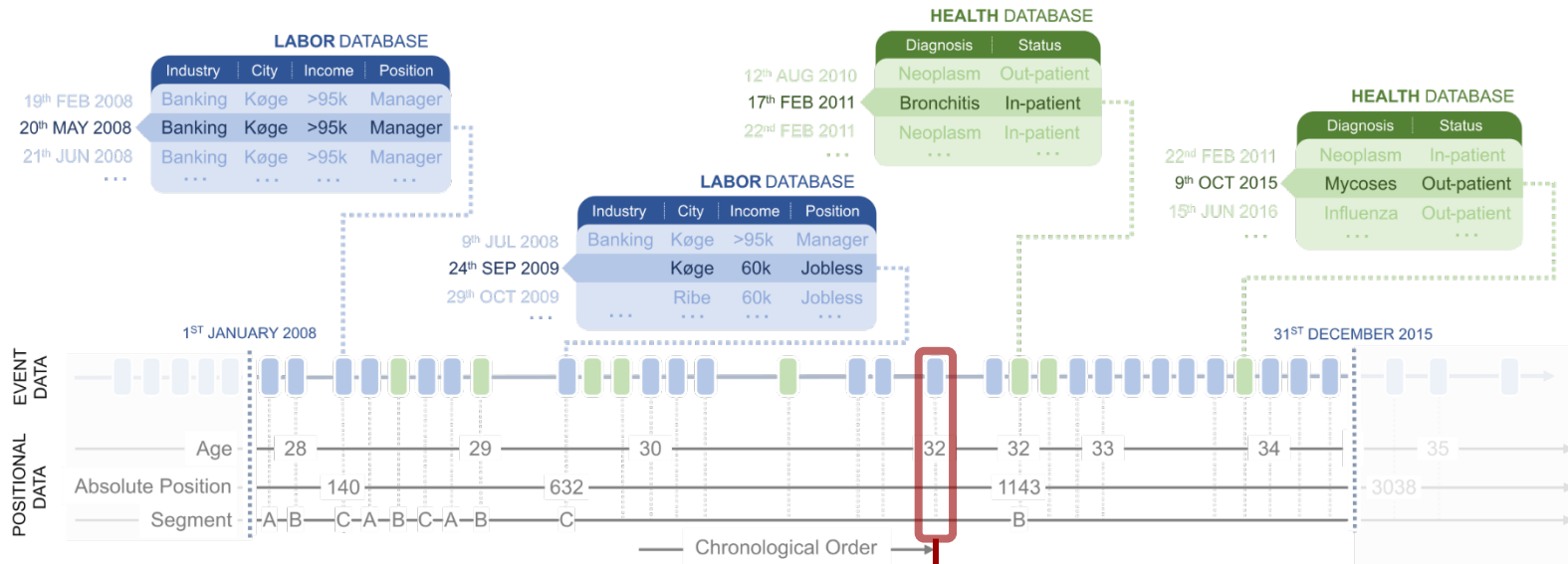


\* slightly simplified overview

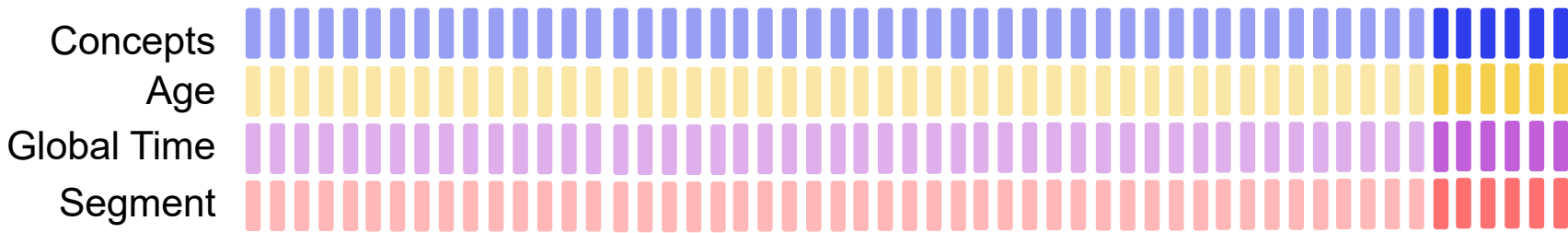
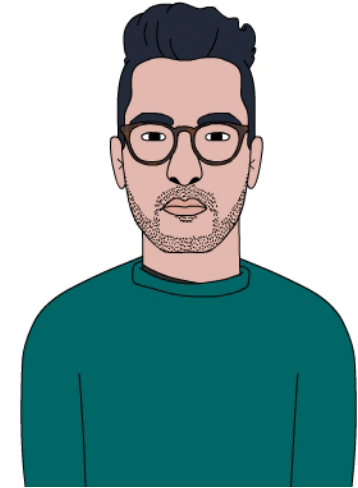
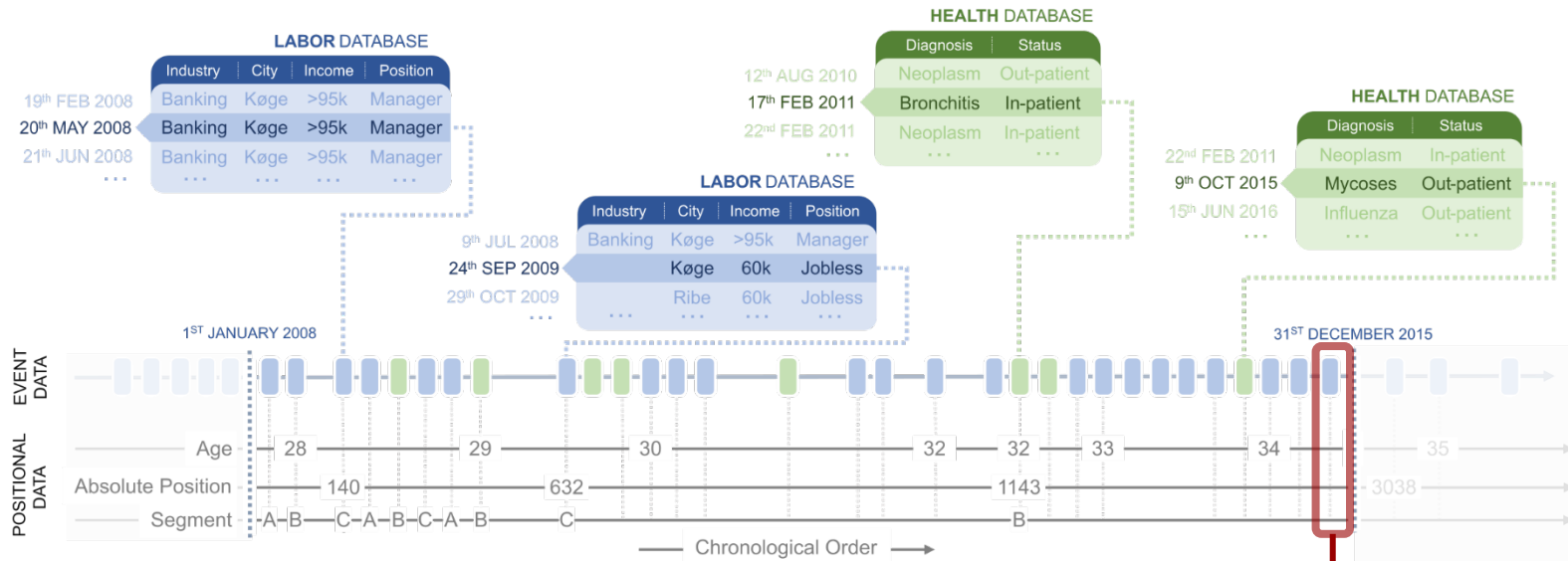


\* slightly simplified overview

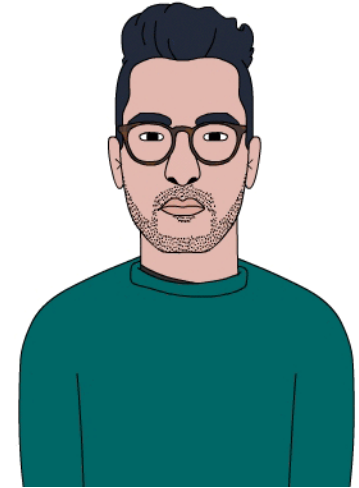
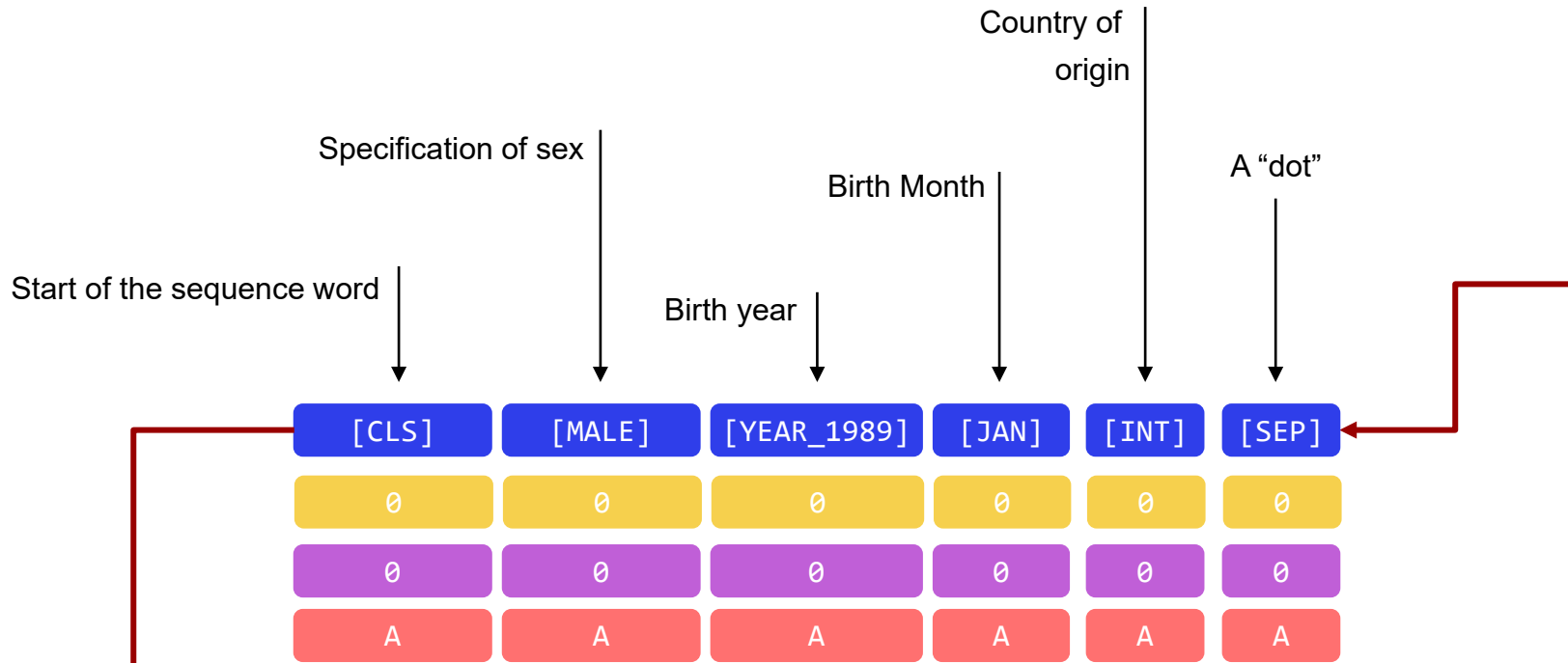




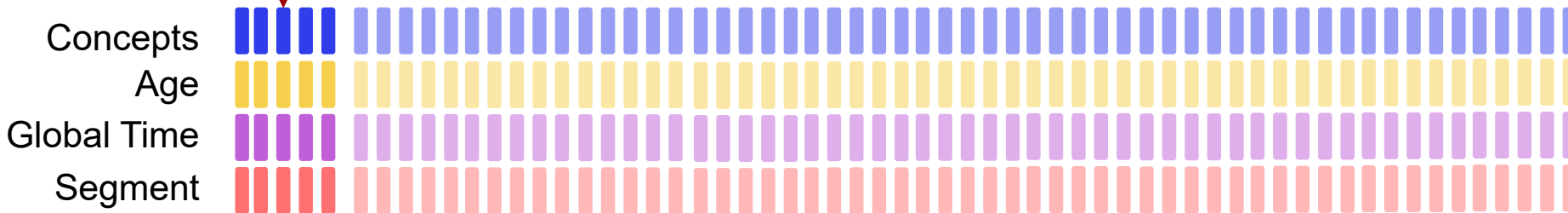
\* slightly simplified overview



\* slightly simplified overview

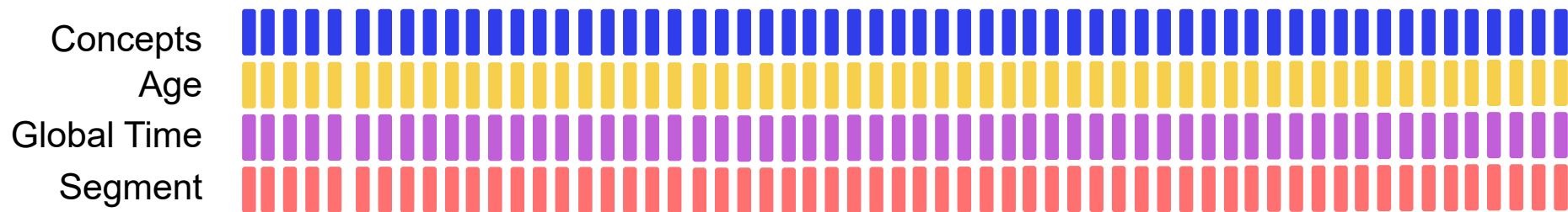
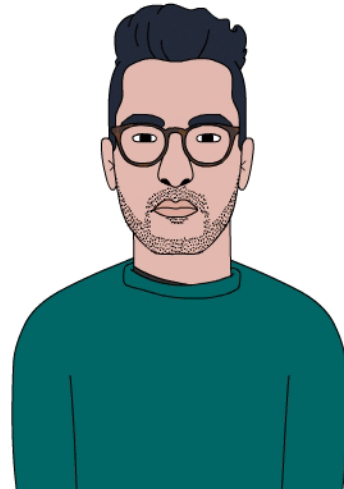


The "Background sentence"



\* slightly simplified overview

# Individual Life-Sequence



Input to the life2vec model

*\* slightly simplified overview*

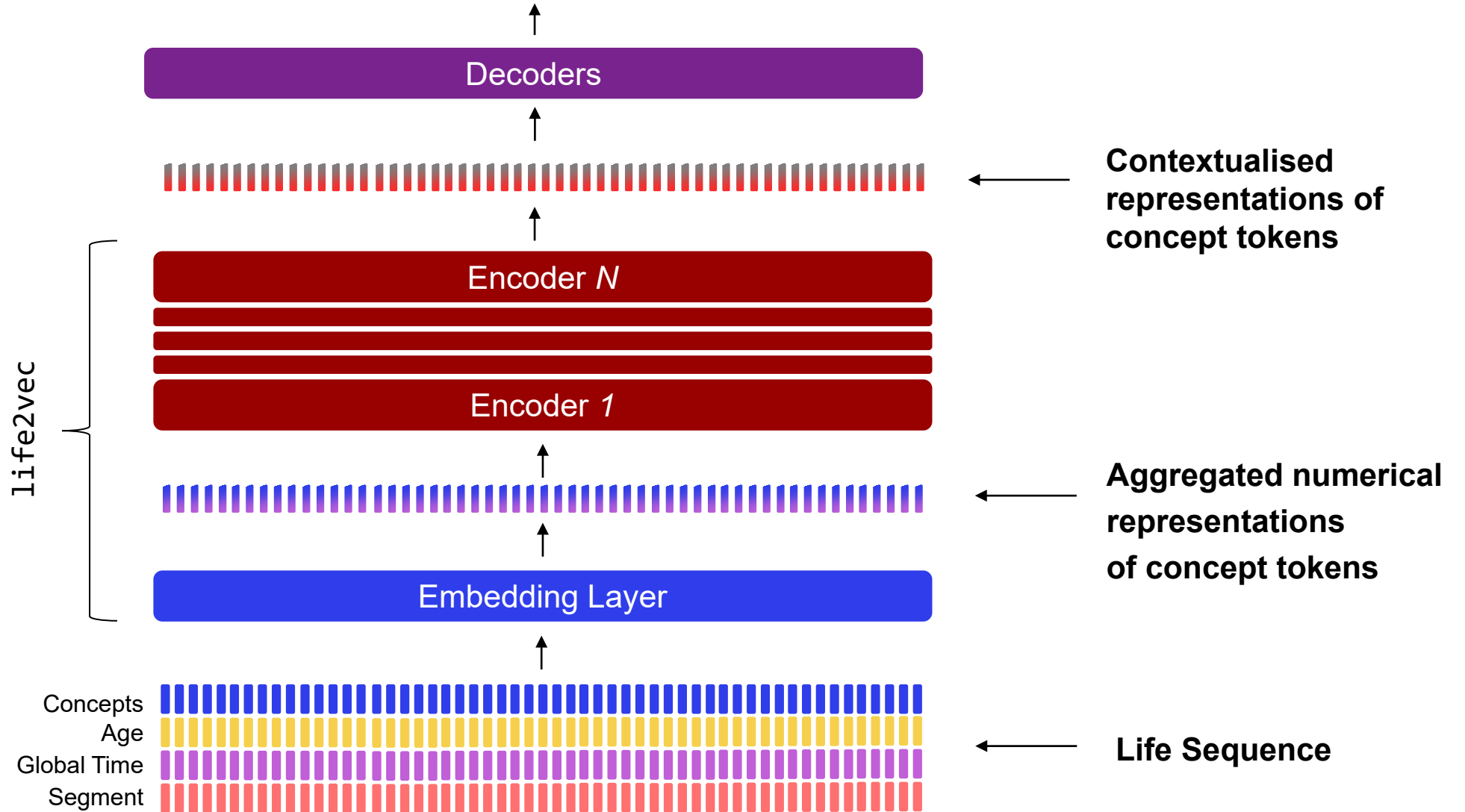
# Vocabulary

Type	Variables	# Categories	Encoding
Background Information	Sex	2 binary	Male, Female
	Birth Month	12	Jan-Feb
	Birth Year	45	1946-1991
	Country of Origin	2 binary	National or International
Labour Records	Municipality of Residence	97	Danish municipality codes
	Tax Bracket	6	DST definitions
	Income Level	100	Quantile-based
	Labour Force Status	35	DST definitions
	Labour Force Status (Modification)	58	DST definitions
	Labour-Force-Interval	10	Quantile based
	Industry Area (Company)	290	DB07
	Job type	359	ISCO-08
	Enterprise Type (Company)	15	ESA-2010
Health Records	Diagnosis	704	ICD-10
	Urgency	3	Urgent, Non-Urgent, Emergency
	Patient Type	2	In-, out- patient
Special	Special	10	[PAD] ... [UNK]

Part IV

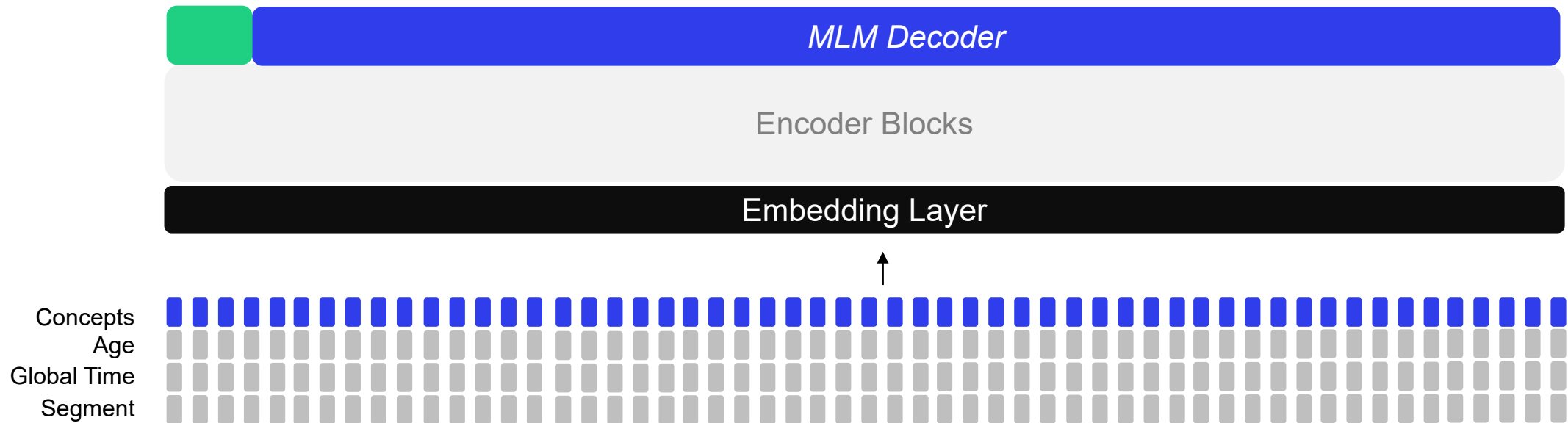
# life2vec: capturing the structure

# life2vec pipeline



# life2vec: pre-training

- **Mask 30%** of tokens (not including [PAD], [SEP], [CLS]):
  - 10% **unchanged**
  - 10% **substituted** with **random** tokens
  - 80% **substituted** with the **[MASK]** token

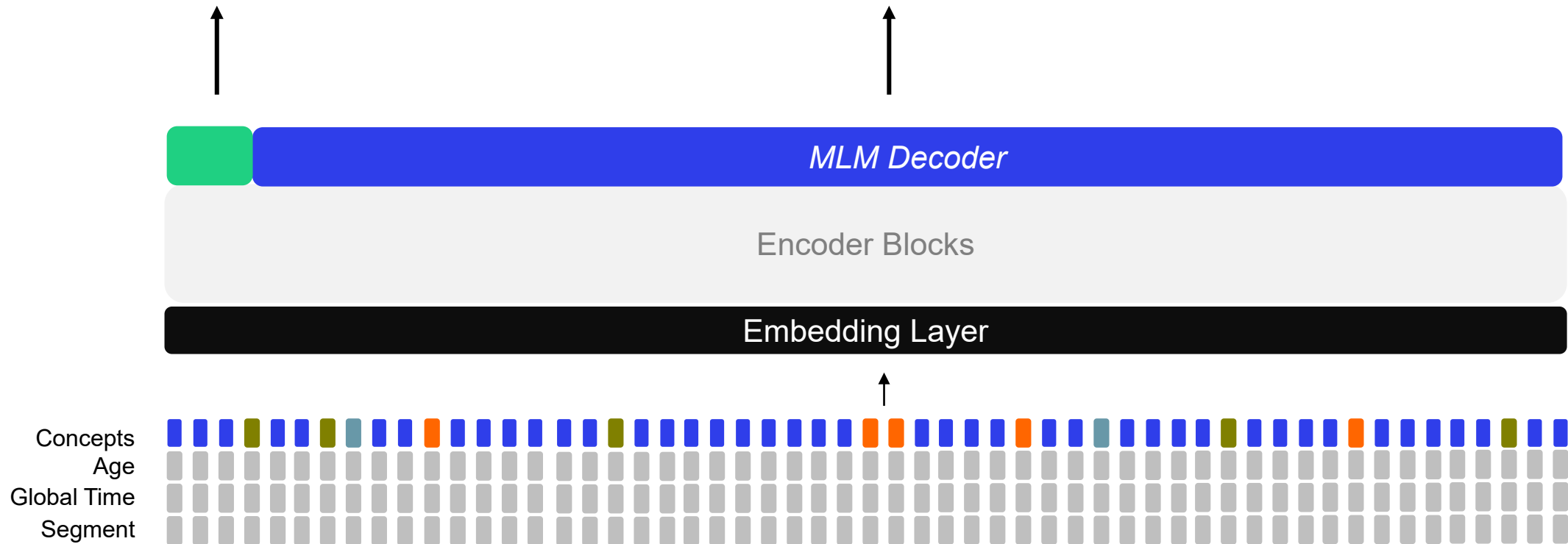




# life2vec: pre-training

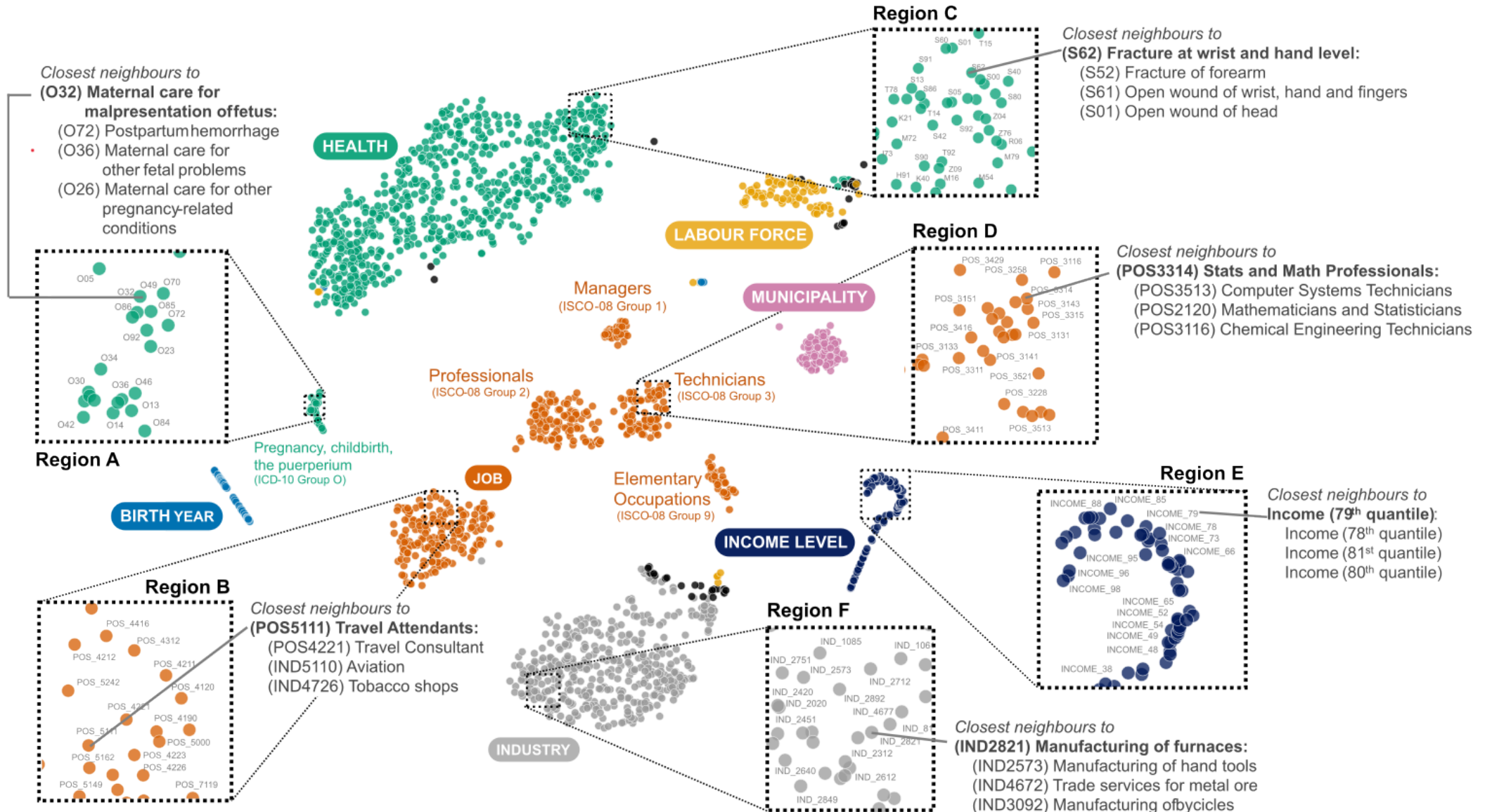
Is the sequence ordered?

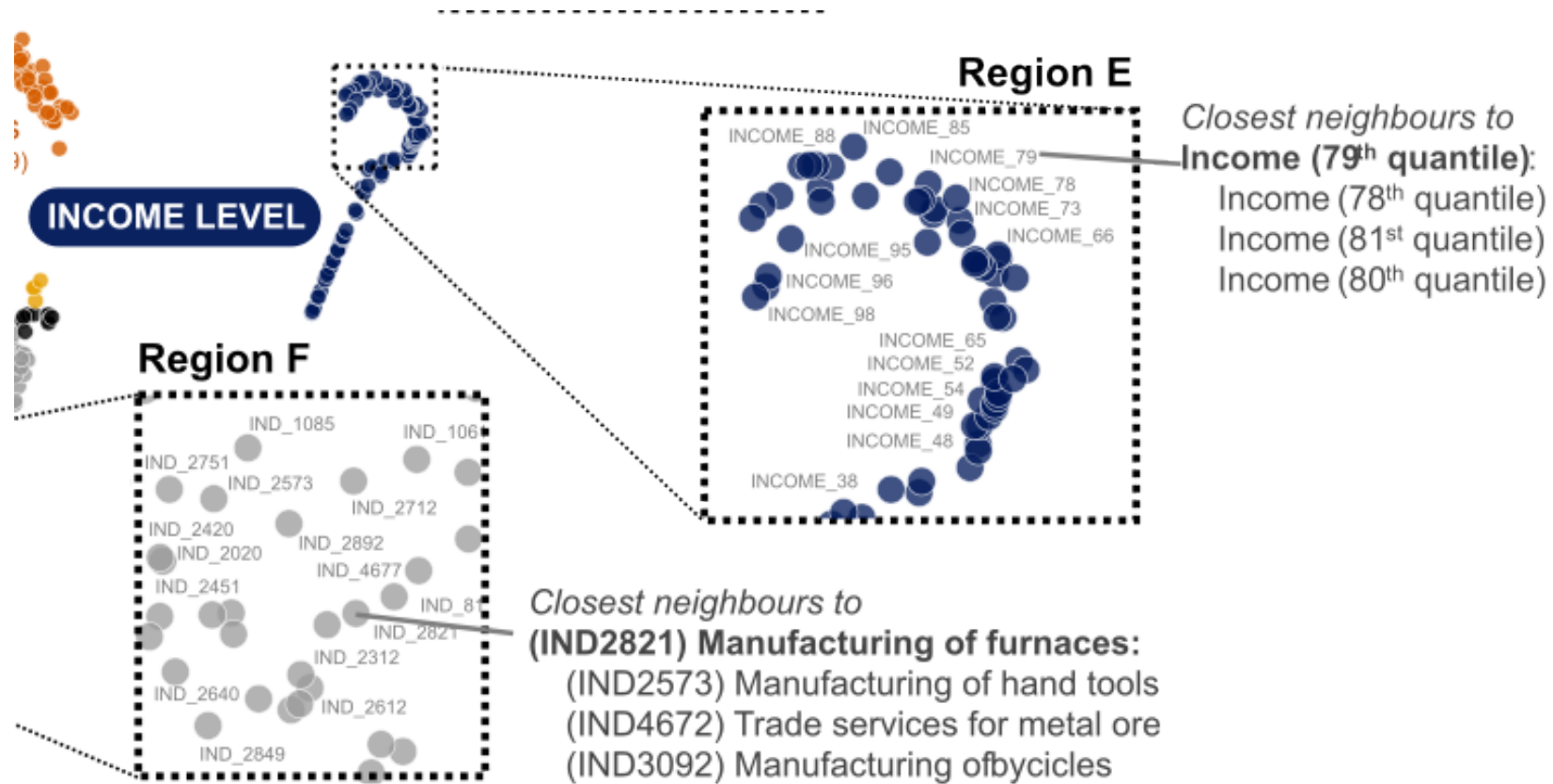
“What was originally there?”



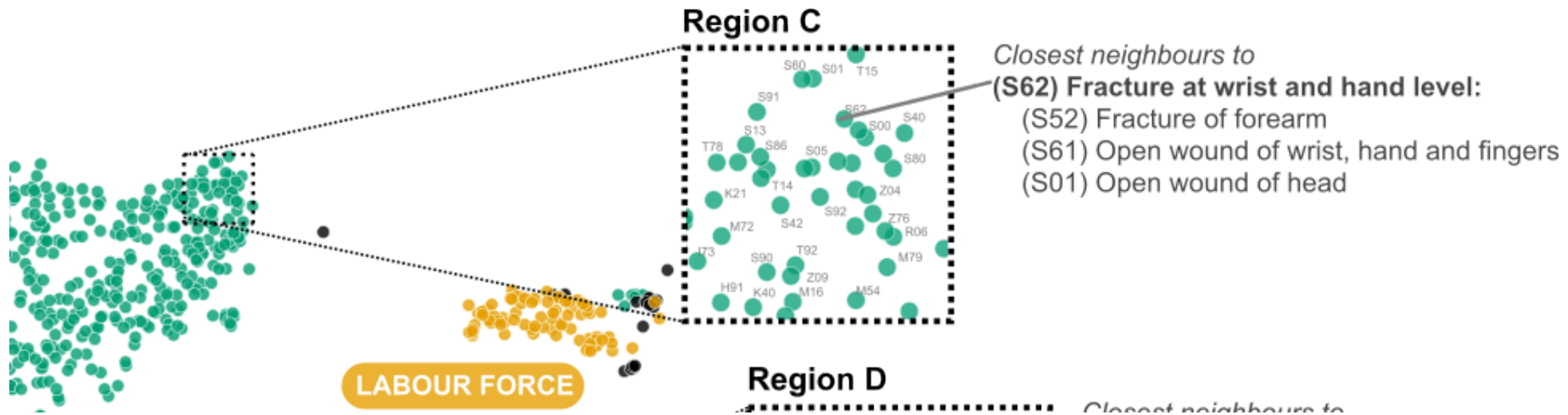
# What did our model learn on pretraining?

# Space of Concept Tokens (with PaCMAP)

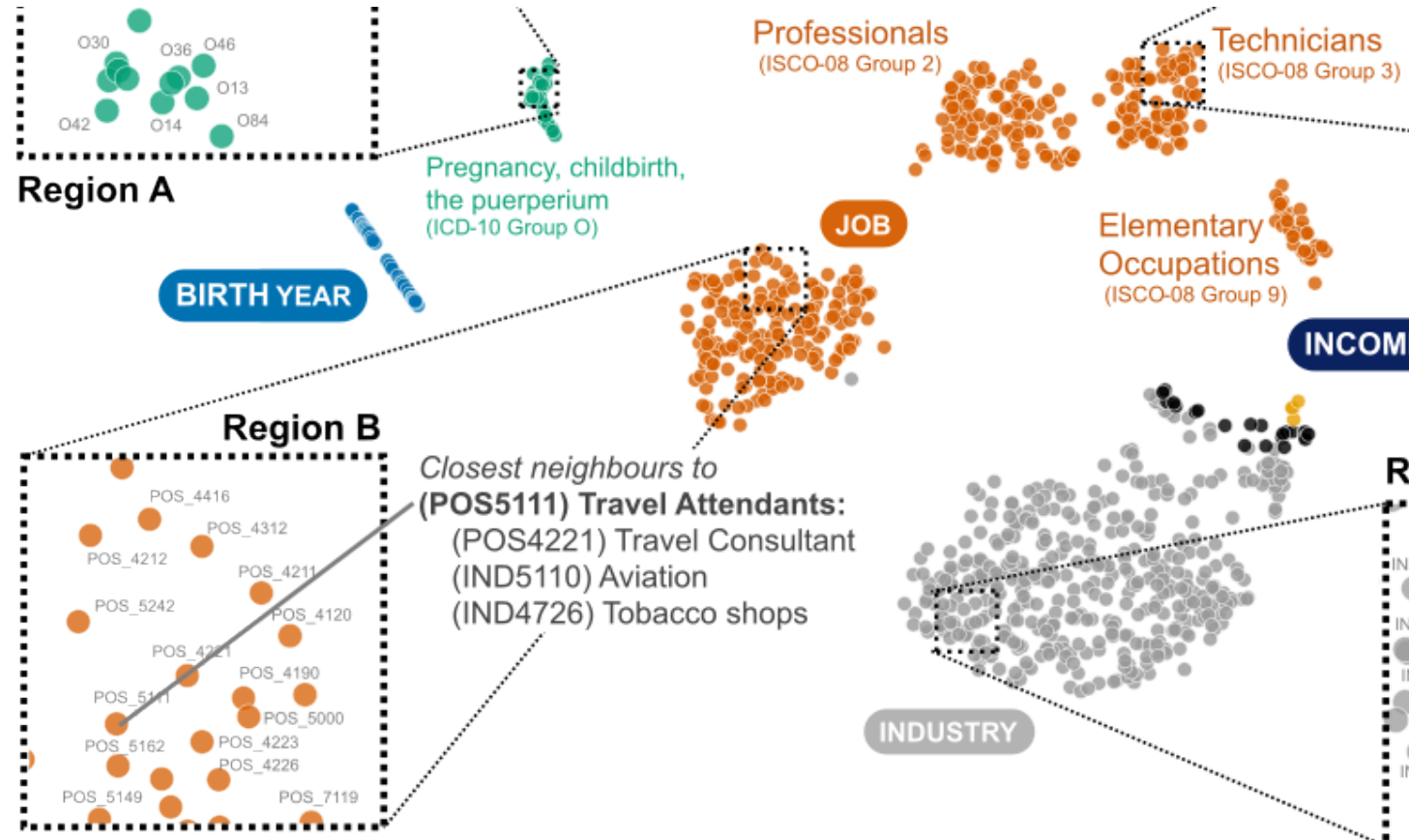




# Space of concept tokens (with PaCMAP)

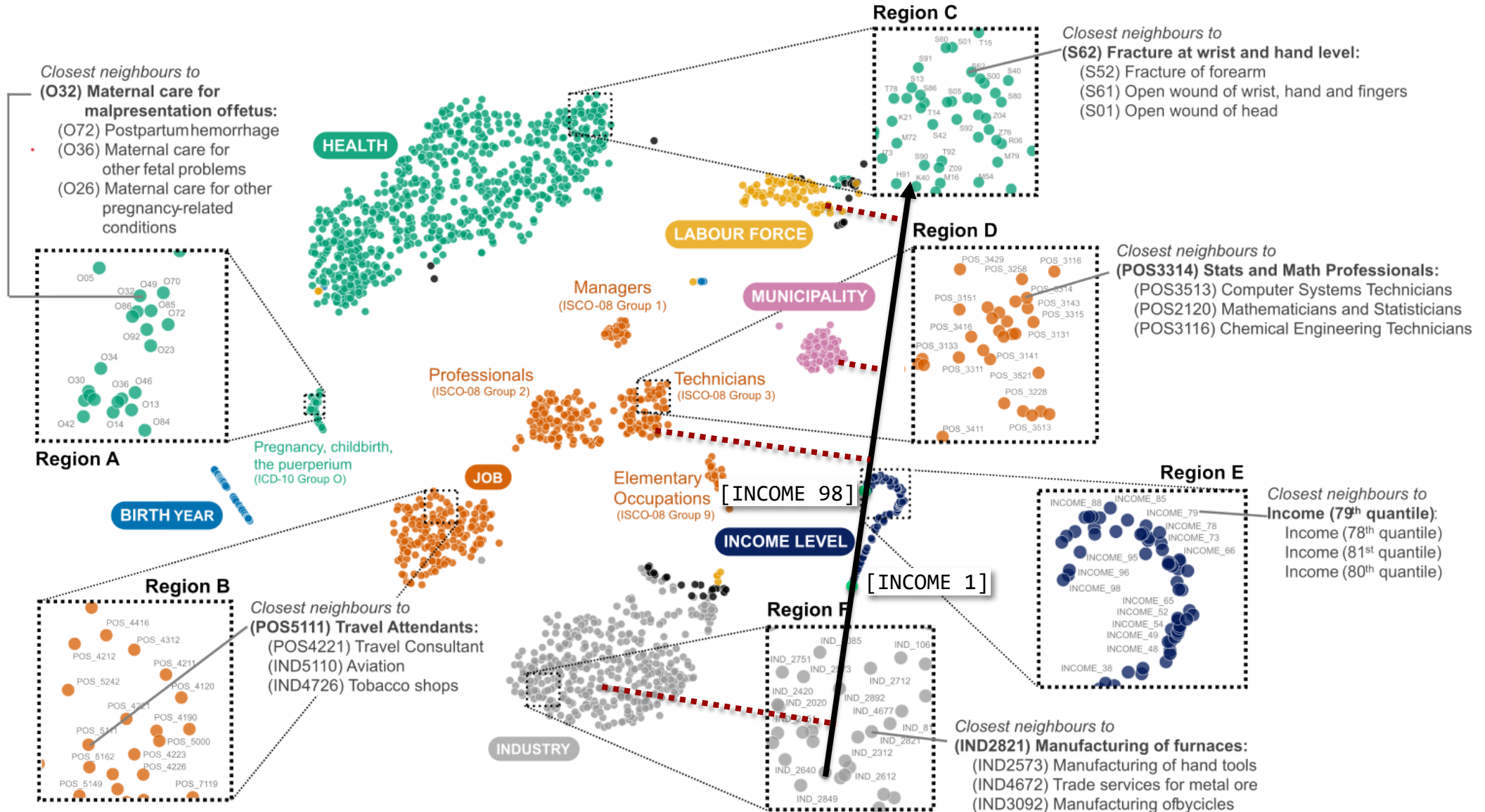


# Space of concept tokens (with PaCMAP)

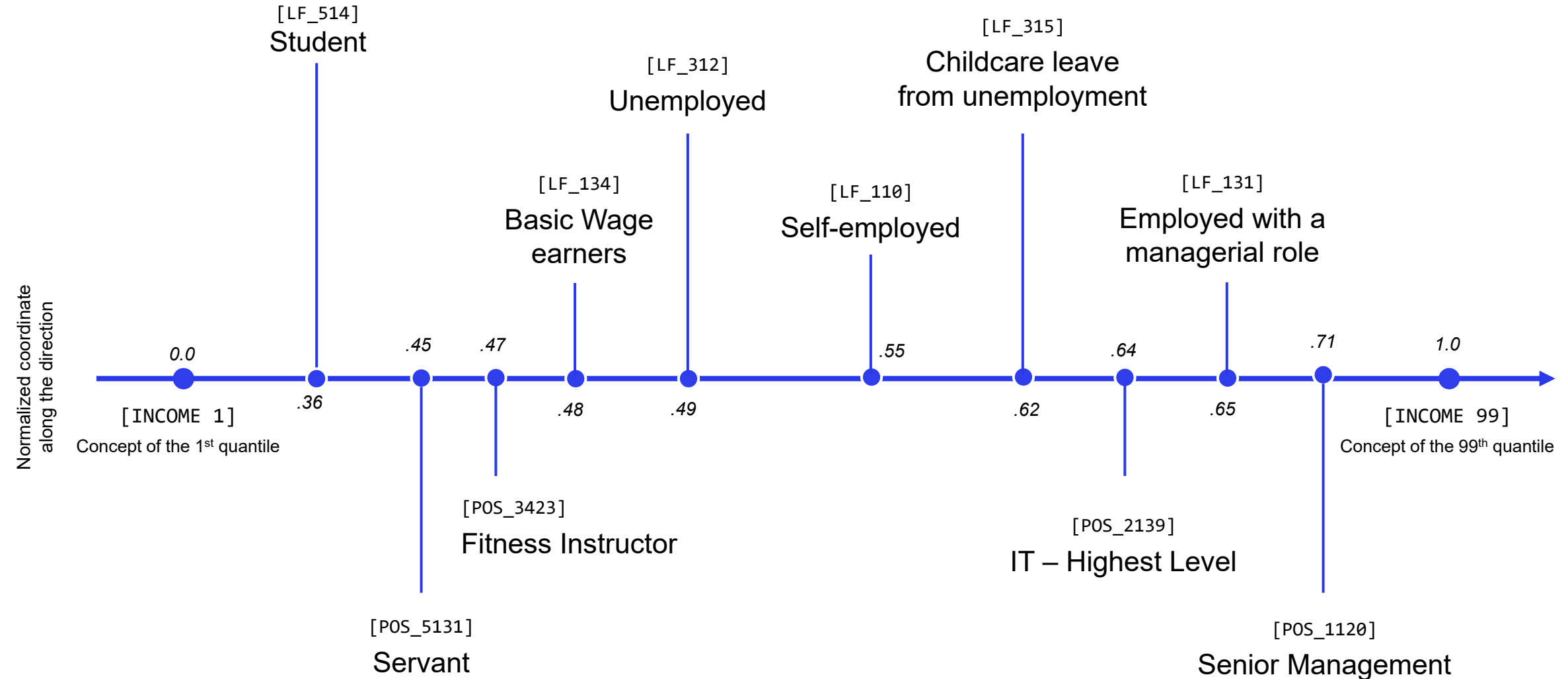


Visually structure corresponds to the structure of the variables

# Space of Concept Tokens (with PaCMAP)

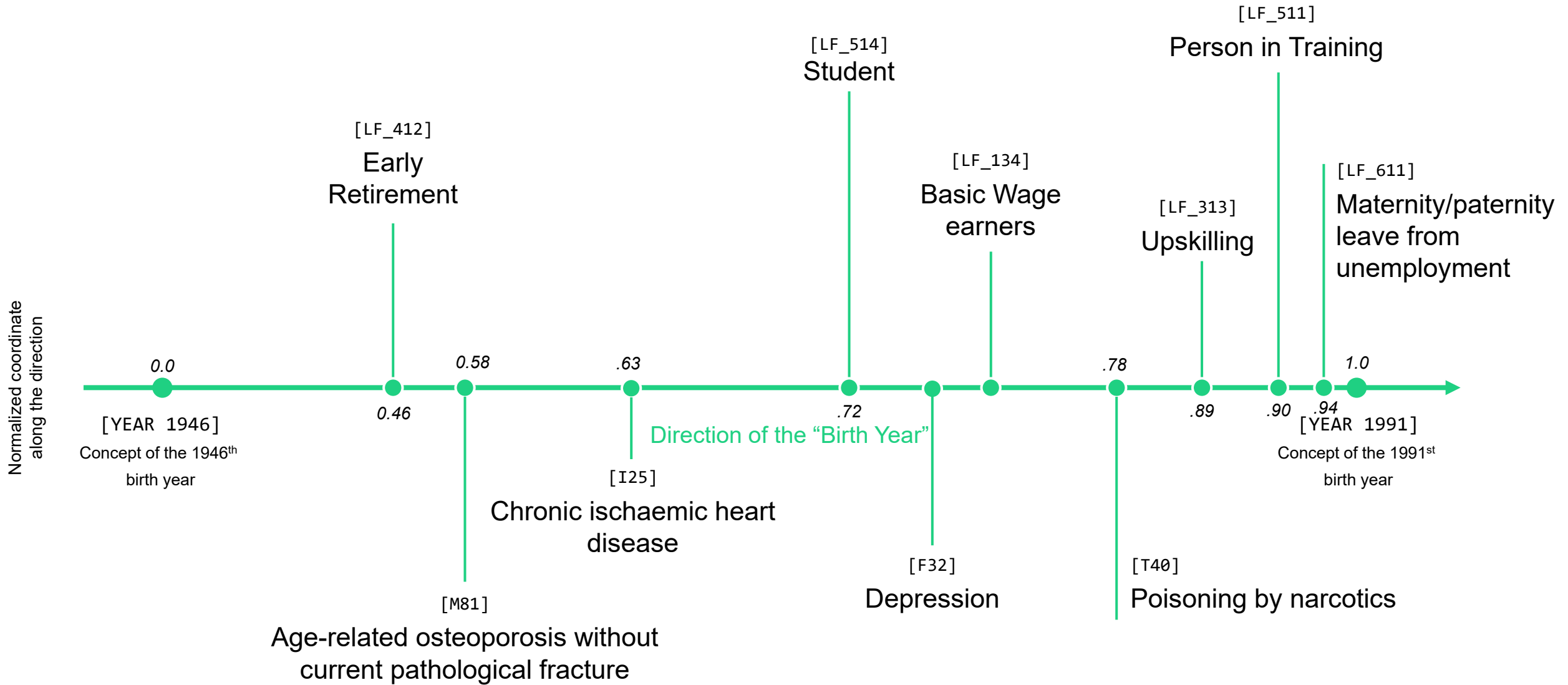


# Projection to “Income” Direction





# Projection to “Year” Direction



# Projection to “*Occupation*” Direction

The opposite job of a chef and head cook is a physicist .

## Chefs and Head Cooks use these skills the most

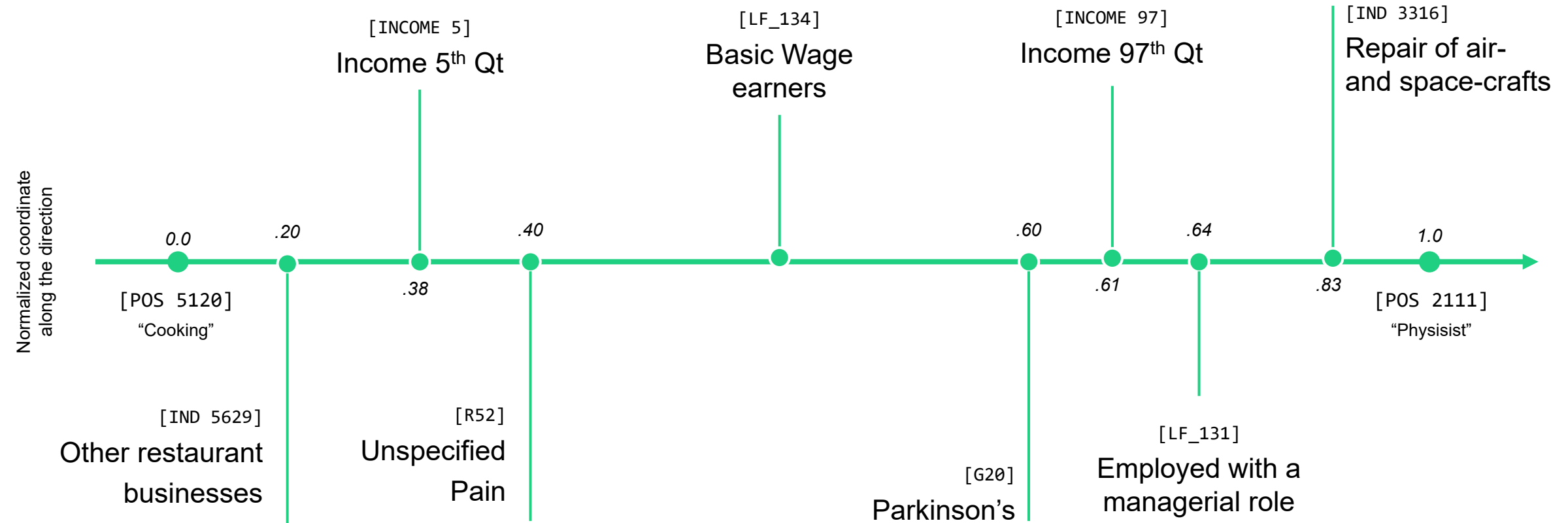
- 1 Management of material resources
- 2 Management of financial resources
- 3 Management of personnel resources
- 4 Coordination
- 5 Negotiation
- 6 Monitoring
- 7 Time management
- 8 Persuasion
- 9 Social perceptiveness
- 10 Learning strategies

## Physicists use these skills the most

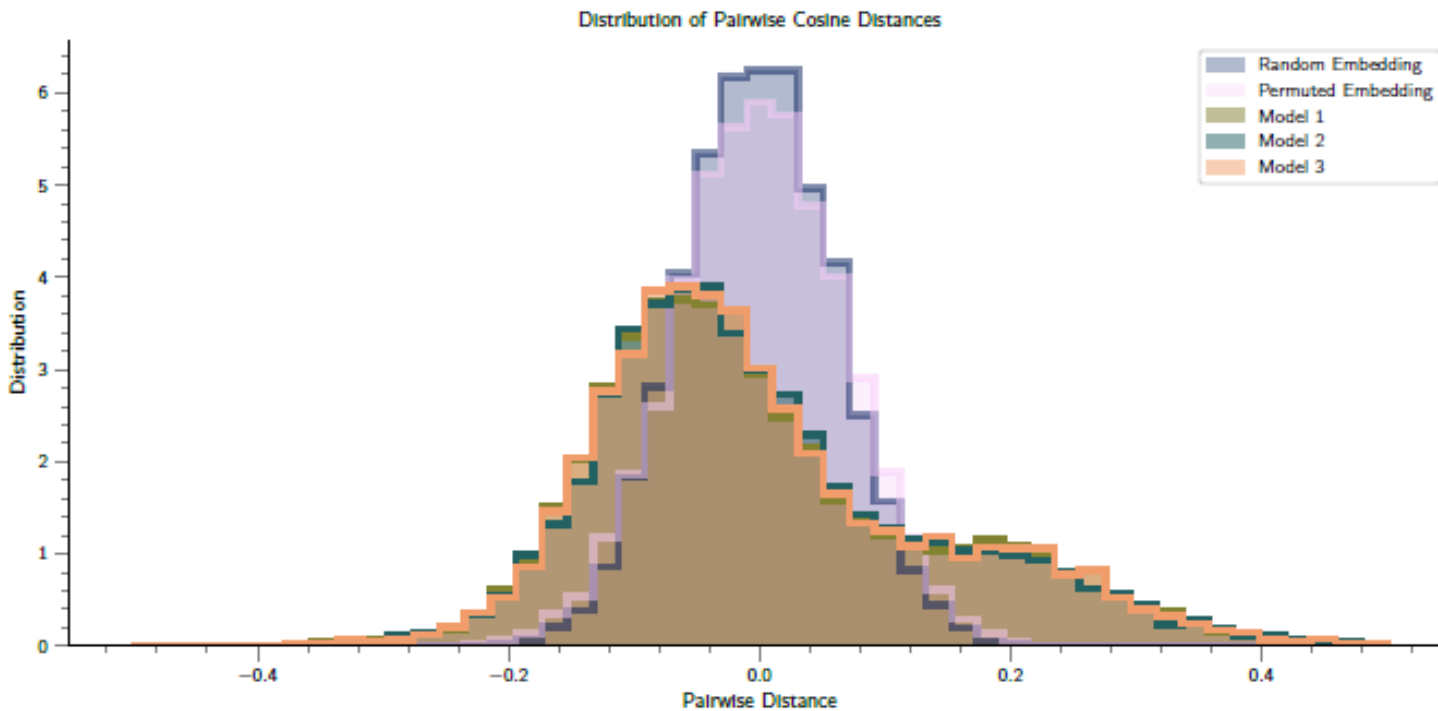
- 1 Physics
- 2 Mathematical reasoning
- 3 Number facility
- 4 Ability to organize groups in different ways
- 5 Information ordering
- 6 Mathematics
- 7 Oral comprehension
- 8 Mathematics
- 9 Originality
- 10 Speech clarity

(n.d.). What Is Your Opposite Job? The New York Times. Retrieved March 11, 2024, from <https://www.nytimes.com/interactive/2017/08/08/upshot/what-is-your-opposite-job.html>

# Projection to “Occupation” Direction



# Concept Space Robustness: Permutation Test



Models trained on **separate datasets** and with **different initialization**

Model Comparison	Spearman's $\rho$
$D^1$ vs $D^2$	.668
$D^1$ vs $D^3$	.660
$D^2$ vs $D^3$	.661
$D^1$ vs $D^R$	-.001
$D^1$ vs $D^{1P}$	.000

$\rho > .6$  (Strong *monotonic* correlation)<sup>1</sup>

1. Schober, P., Boer, C., & Schwarte, L. A. (2018). Correlation coefficients: appropriate use and interpretation. *Anesthesia & analgesia*, 126(5), 1763-1768.

# What does it tell us?

- **Life2vec as proof of concept**
  - **Algorithms understand the textual representation of life-sequences**
  - **Transformers can capture structure in such a language**

## **Study the dynamic within the data source**

- Health and labor modelled in one space
- Can use embedding space to analyse relationships between categories

Part V

# life2vec as a foundation model

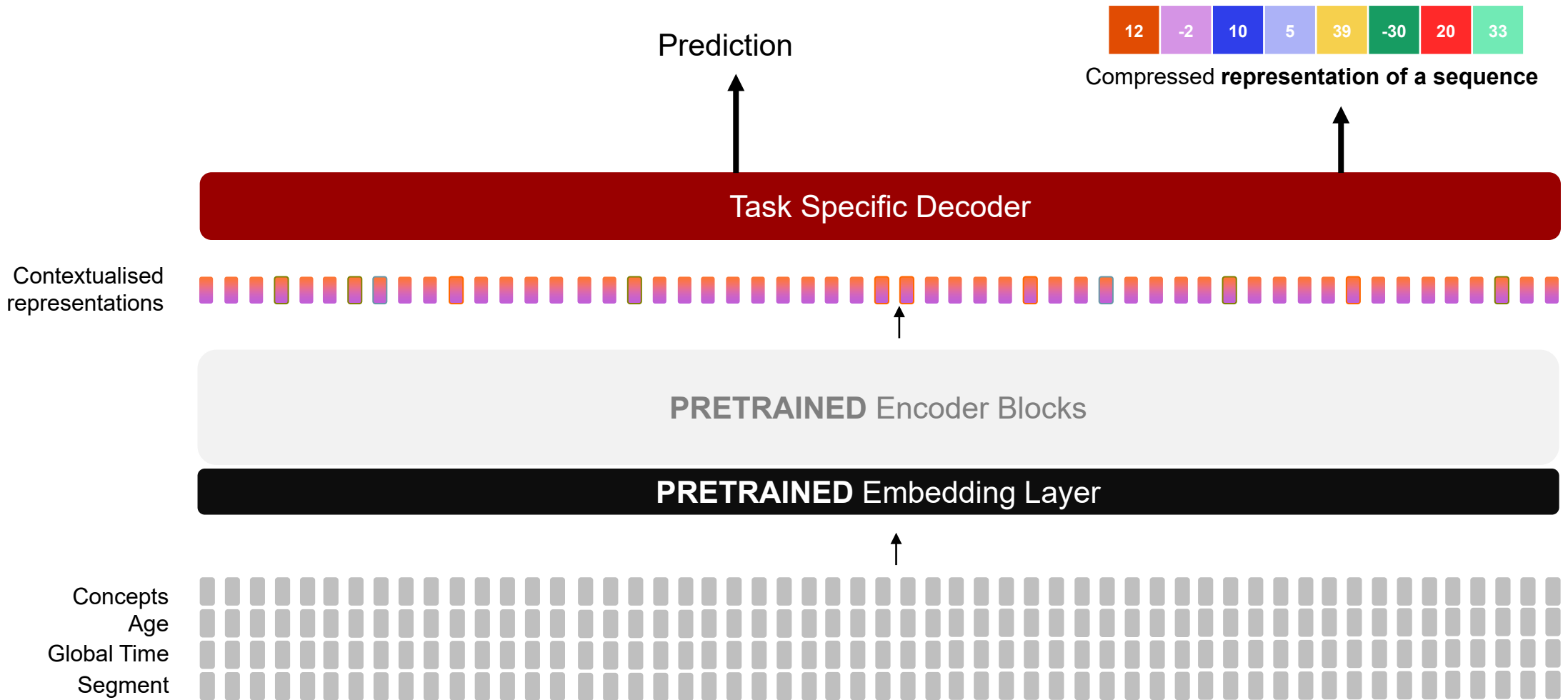
# Foundation Models

*“Train one model on a huge amount of data and **adapt it to many applications**. We call such a model a foundation model.”<sup>1</sup>*

*“[...] rather than developing a bespoke model for each specific use case (as was done traditionally), a single FM can instead be **reused across a broad range of downstream tasks** with minimal adaptation or retraining needed per task.”<sup>2</sup>*

1. *Developing and understanding responsible foundation models*. Stanford CRFM. (n.d.). <https://crfm.stanford.edu/>
2. Wornow, M., Xu, Y., Thapa, R., Patel, B., Steinberg, E., Fleming, S., ... & Shah, N. H. (2023). The shaky foundations of large language models and foundation models for electronic health records. *npj Digital Medicine*, 6(1), 135.

# life2vec: finetuning





# Life-Summaries

- We want **high predictive power** and **explainability**
- We condition life2vec on three tasks:
  - Early Mortality Prediction
  - Emigration Prediction
  - Self-reported personality assessment

# Early Mortality Prediction

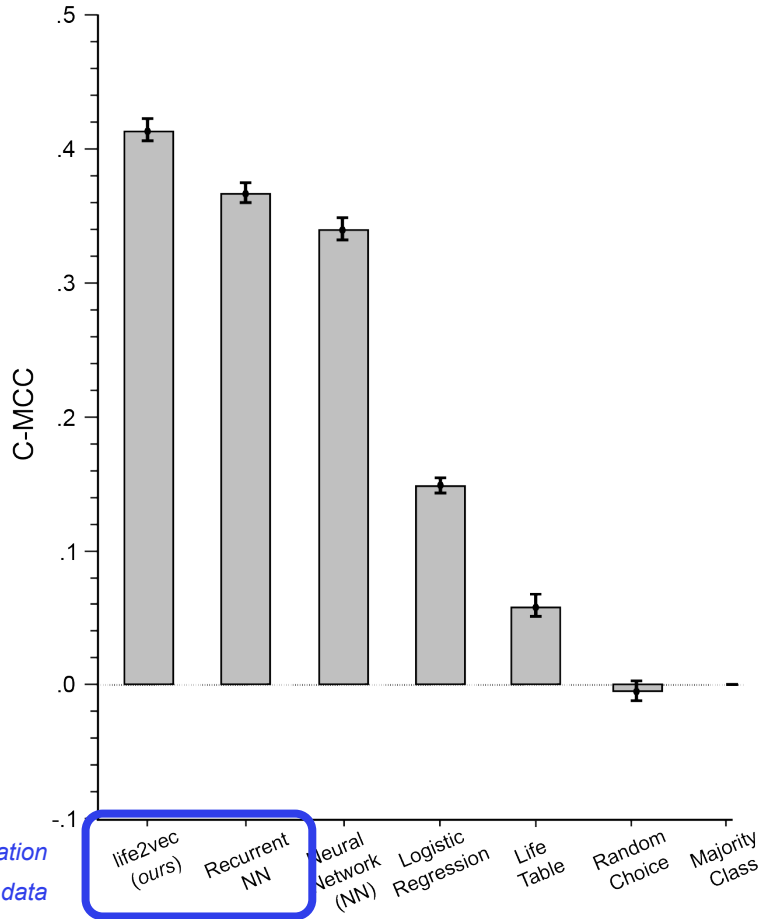
- **Task: “Is a person going to be deceased within the next 4 years after 31<sup>st</sup> December 2015?”**
  - Split people into ones who are marked as dead, and all others
  - Some people do not have “a label”.
    - This is a Positive Unlabelled (PU)-Learning Problem

## Why PU Learning? (Mortality Example)



# Early Mortality Prediction

A Mean Corrected MCC (with 95% CI)



True Labels

	True Labels		
	Positive	Negative	
Predicted Labels	Positive	TP	FP
	Negative	FN	TN

$$\widehat{mcc} = \frac{tp \times tn - fp \times fn}{\sqrt{(tp + fp)(tp + fn)(tn + fp)(tn + fn)}} = \frac{\hat{\pi}(1 - \hat{\pi})(\hat{\gamma} \cdot (1 - \hat{\eta}) - \hat{\eta} \cdot (1 - \hat{\gamma}))}{\sqrt{\theta \hat{\pi}(1 - \hat{\pi})(1 - \theta)}}$$

$$\widehat{mcc}_{cr} = \sqrt{\frac{\hat{\pi}_{cr}(1 - \hat{\pi}_{cr})}{\theta(1 - \theta)}} (\hat{\gamma}_{cr} - \hat{\eta}_{cr})$$

$$\text{Recall} = \hat{\gamma} = \frac{tp}{tp + fn}$$

$$\text{FPR} = \hat{\eta} = \frac{fp}{tn + fp}$$

$$\text{Positive Class Prior} = \hat{\pi} = \frac{tp + fn}{tp + fn + tn + fp}$$

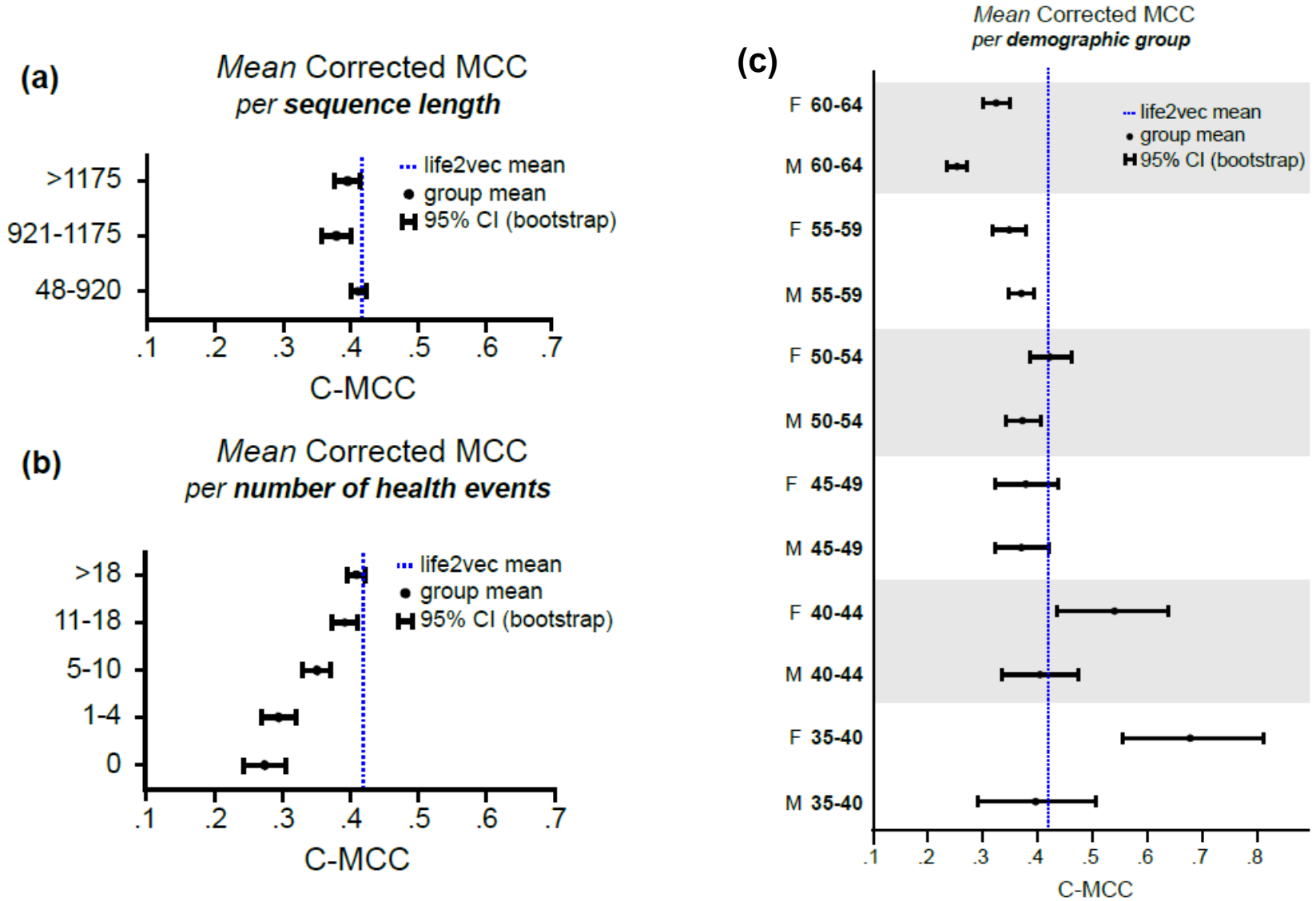
$$\text{Positive Predictions} = \theta = \frac{tp + fp}{tp + fn + tn + fp}$$

$$\hat{\gamma}_{cr} = (1 - \hat{\alpha})^{-1}((1 - \hat{\alpha}) \cdot \hat{\gamma})$$

$$\hat{\eta}_{cr} = (1 - \hat{\alpha})^{-1}(\hat{\eta} - \hat{\alpha} \cdot \hat{\gamma})$$

$$\hat{\pi}_{cr} = \hat{\pi} + (1 - \hat{\pi}) \cdot \hat{\alpha}$$

# Early Mortality Prediction: Auditing



# Early Mortality Prediction: Data Use

*Retrain the model on different variations of the dataset*

Data	C-MCC, 95%-CI	AUL	Vocab Size
Full Labor & Health	0.413 [0.410, 0.422]	0.845	2043
Partial Labor & Health	0.375 [0.367, 0.384]	0.837	1034
Only Full Labor	0.319 [0.312, 0.327]	0.809	1290
Only Partial Labor	0.278 [0.271, 0.285]	0.782	281

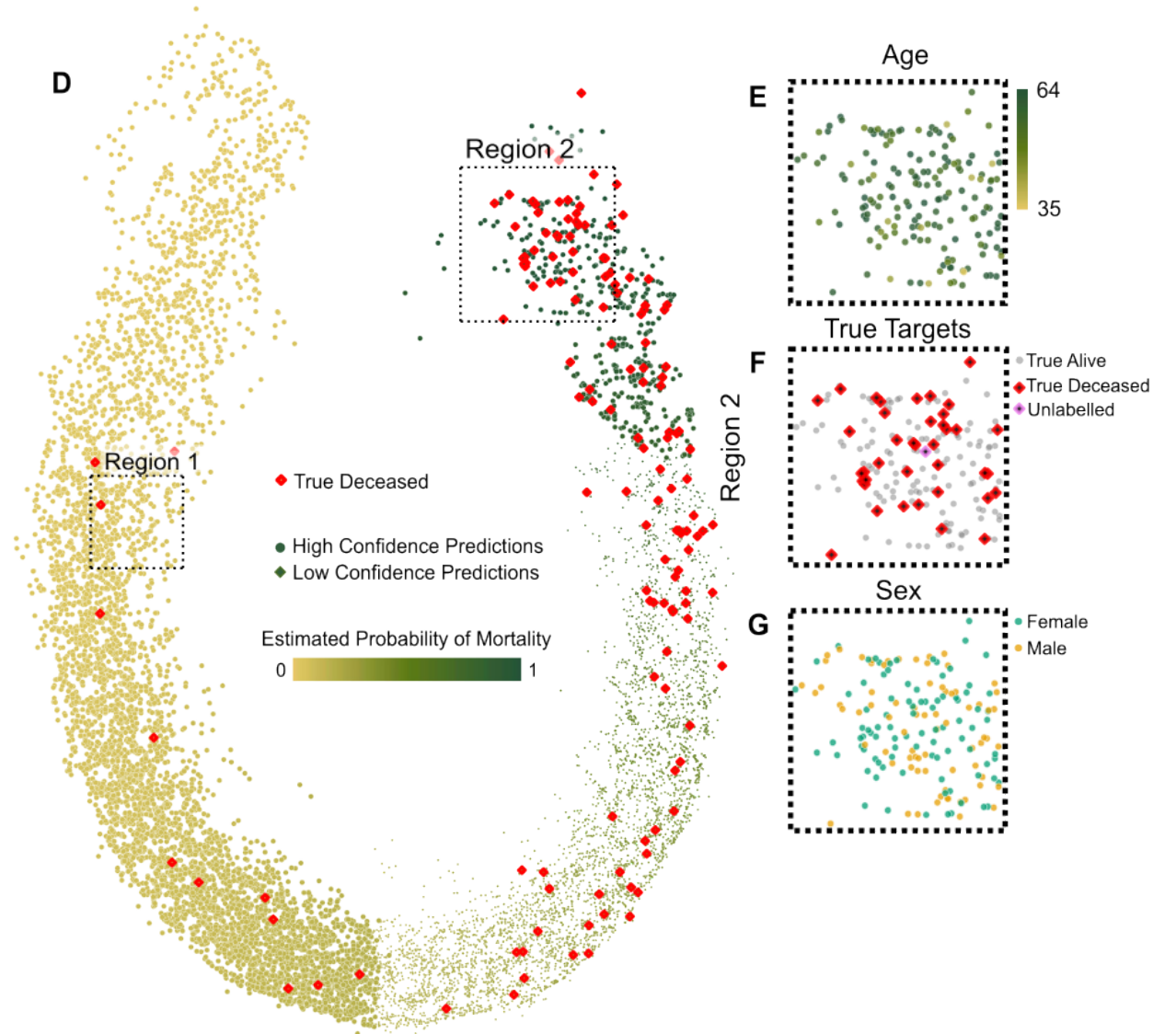
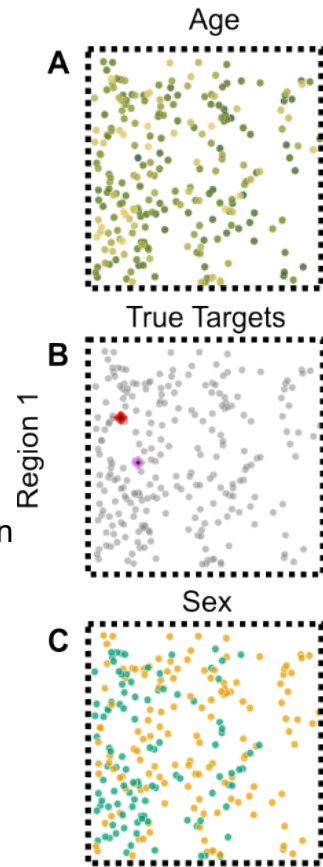


**Partial Labor:** no industry, sector, position and labour force

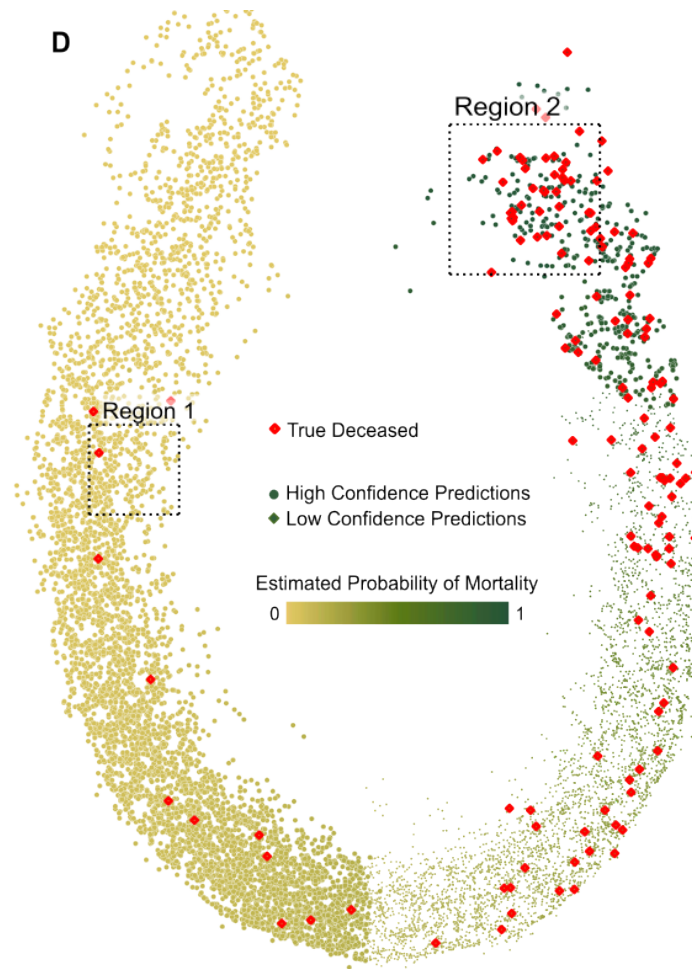
We can look at the low dimensional space of life-summaries.



2D Projection



# Explainability with TCAV (Mortality Prediction):



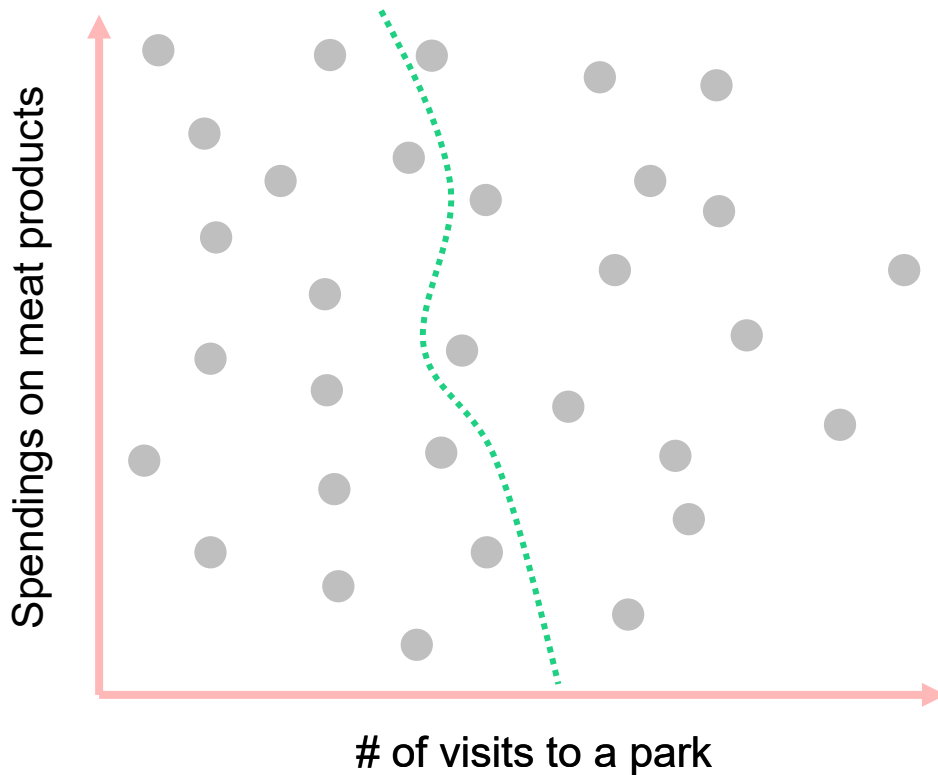
In the Concept space, we can find *somewhat* explainable directions!

- **Here, we do not – we need to find them!**

## TCAV allows to find these directions

- Interpretation of the **directions of the person-summary space**
- **Sensitivity of the model** towards these directions
- Global Interpretability

# Overview of the TCAV method

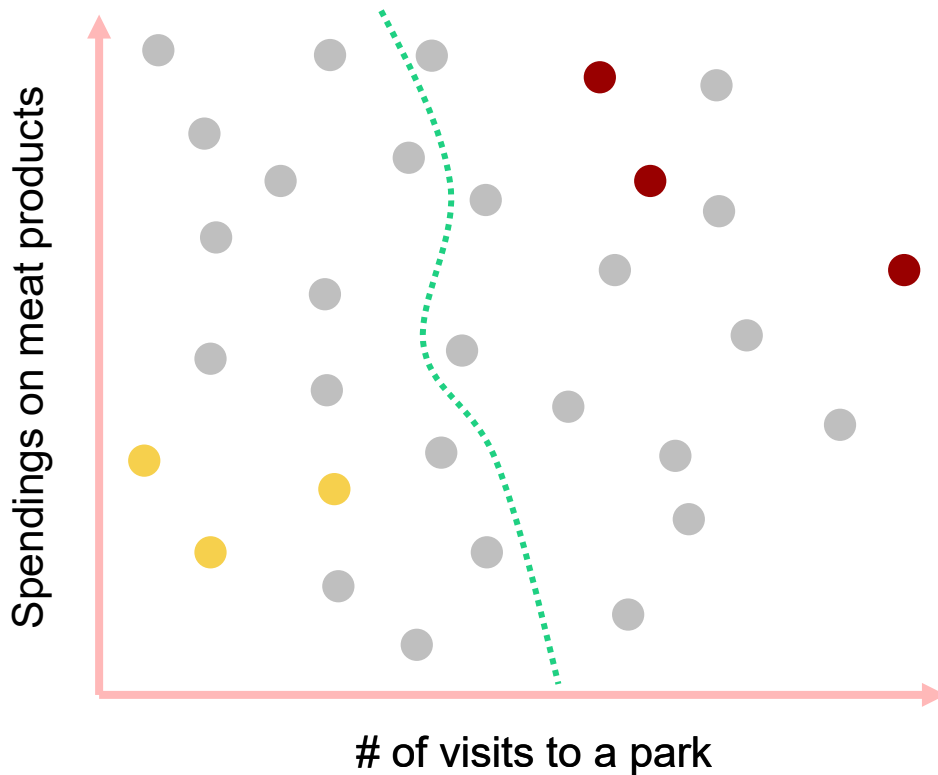


Let's imagine an **algorithm** that predicts whether a person has a dog

..... decision boundary of the algorithm



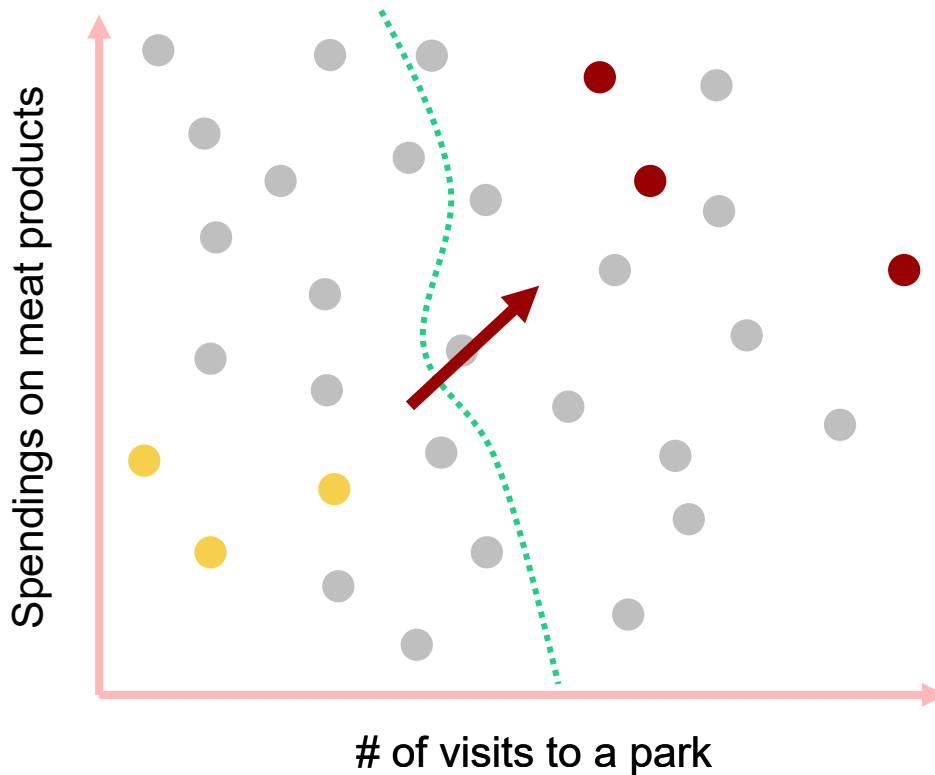
# Overview of the TCAV method







Let's imagine you have extra information

- ..... decision boundary of the algorithm
- Lives in a rental
- Owns an apartment

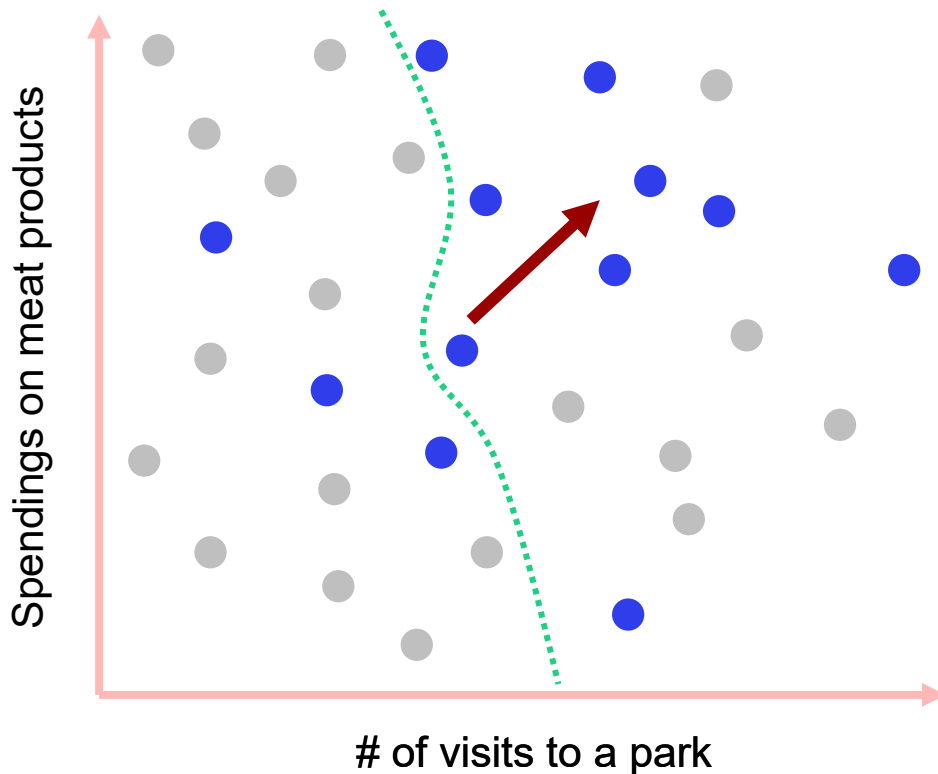
# Overview of the TCAV method



Let's imagine you have extra information

-  decision boundary of the algorithm
-  Lives in a rental
-  Owns an apartment
-  Direction of a concept

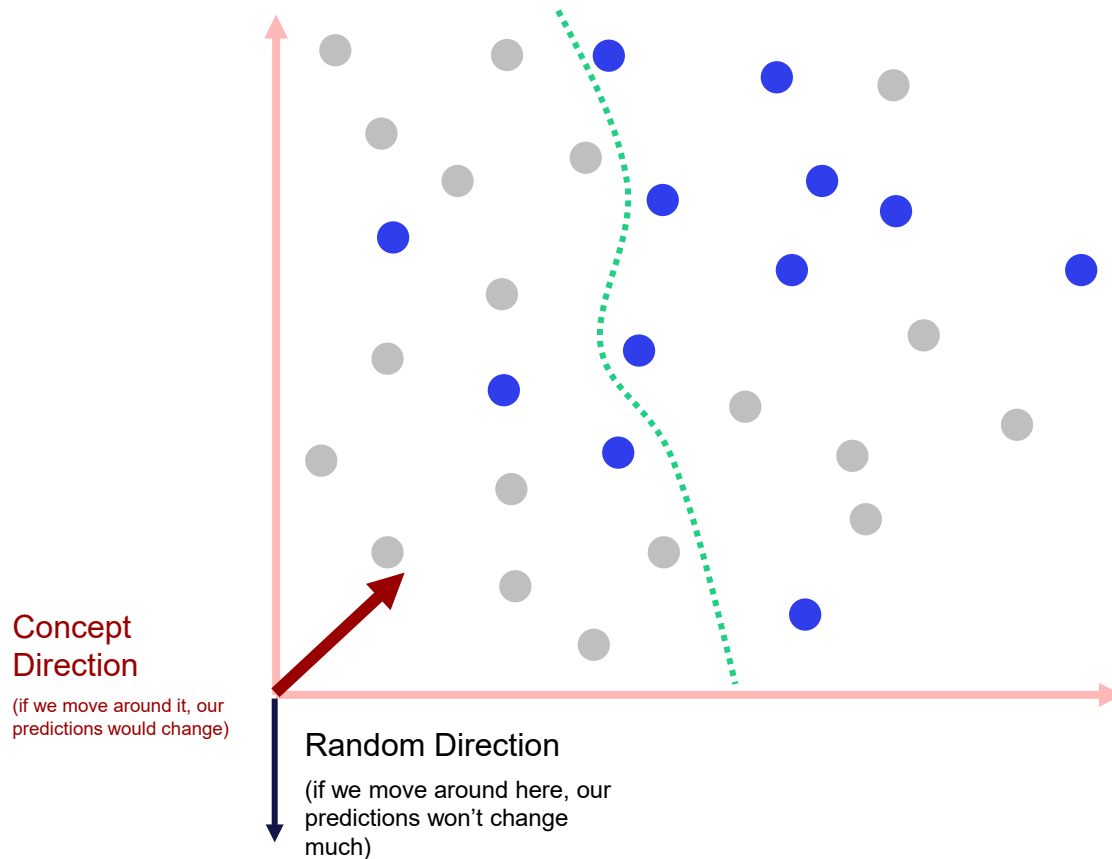
# Overview of the TCAV method



**Interpretation:** If we move in a certain direction (the one that is associated with a concept), how strongly would it influence the output of our model (on average)

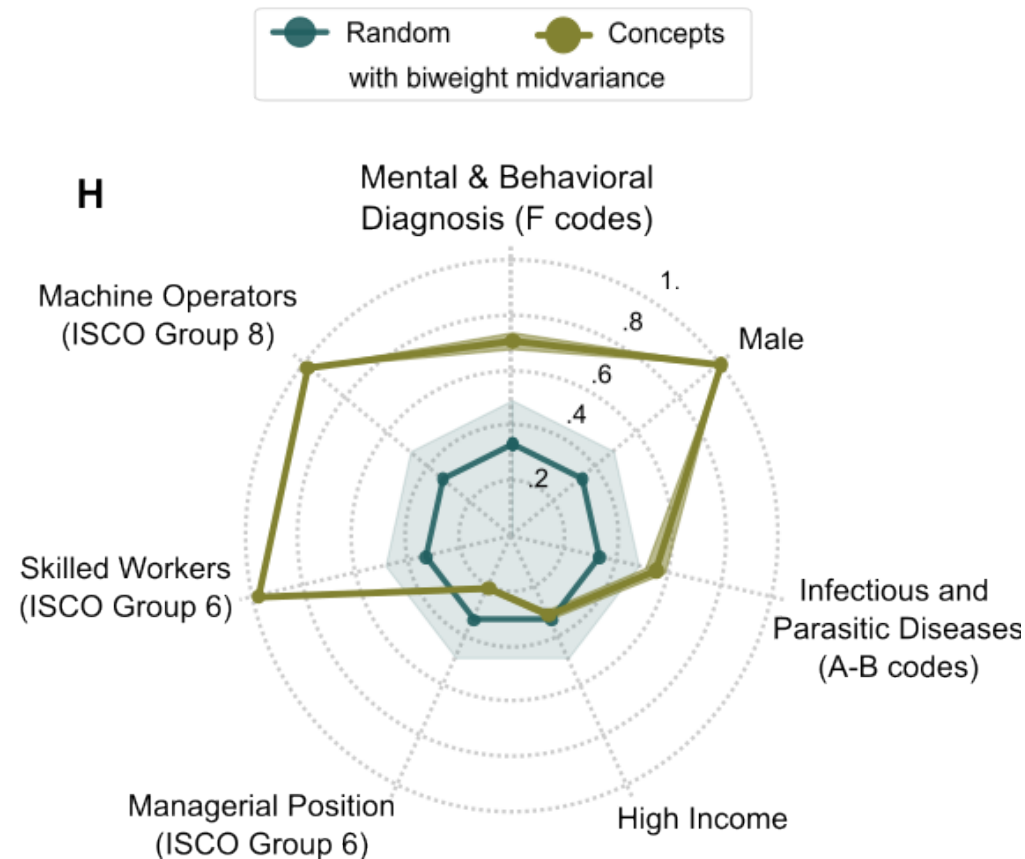
- ..... decision boundary of the algorithm
- Randomly sampled point
- ➔ Direction of a concept

# Overview of the TCAV method



**Interpretation:** If we move in a certain direction (the one that is associated with a concept), how strong would it influence the output of our model (on average).

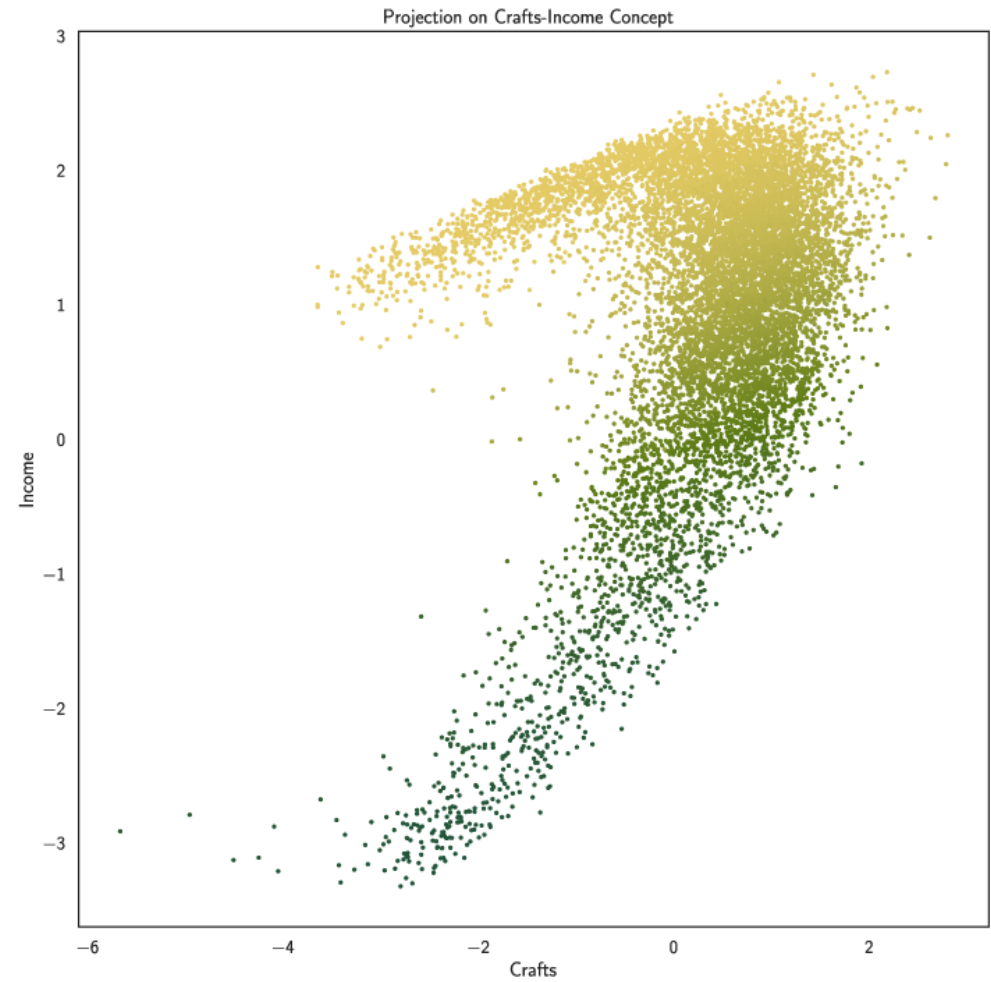
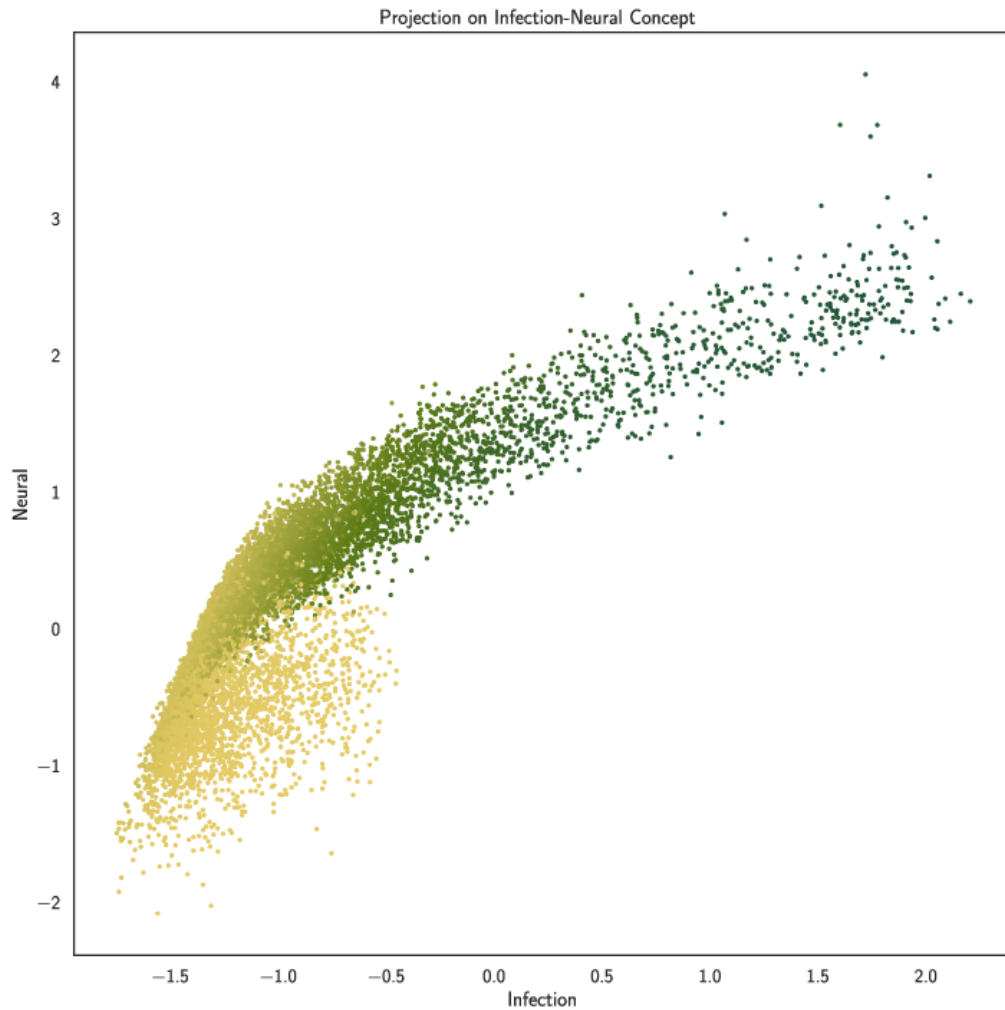
# Explainability with TCAV (Mortality Prediction):



- Interpretation of the **directions of the person-summary space**
- **Sensitivity of the model** towards these directions
- Global Interpretability

***TCAV Score per "Direction"***

# Projection to TCAV Directions



# life2vec and *Personality Traits*

- We focus on Extroversion Facets:
  - **Sociability** (tendency to enjoy social interactions)
  - **Liveliness** (one's typical enthusiasm and energy)
  - **Self-esteem** (tendency to have positive self-regard)
  - **Boldness** (comfort within a variety of social situations)

## Example:

1. In social situations, I'm usually the one who makes the first move

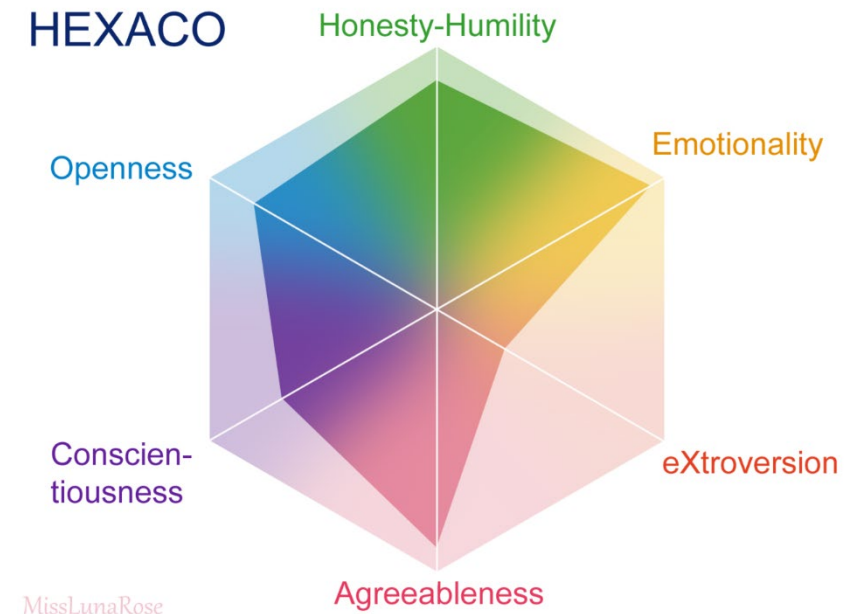


Image source: [Wikipedia](#)

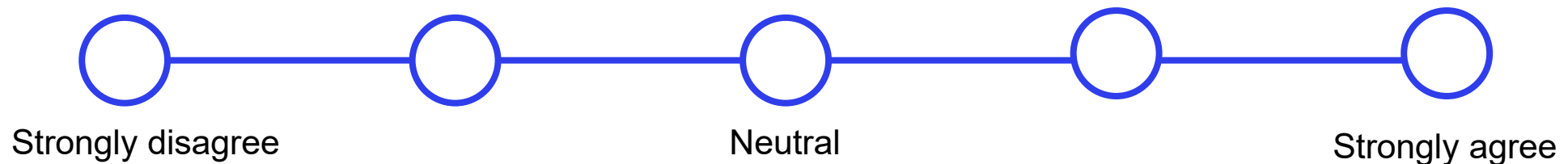
Inventory Descriptions: [The HEXACO Personality Inventory - Revised](#)

# Extraversion Nuance Prediction

- **Task: “What kind of replies does the person give to the 10 questions evaluating their Extraversion? ”**
  - Multiclass prediction
  - Ordinal Classification task (i.e. labels have ordered)
  - Highly Imbalanced Data
  - *We do not have much data*

## Statement:

In social situations, I'm usually the one who makes the first move





# Personality Data

## Quadratic Kappa Score

True	Predicted				
	1	2	3	4	5
1	$c_{11}$	$c_{12}$	$c_{13}$	$c_{14}$	$c_{15}$
2	$c_{21}$	$c_{22}$	$c_{23}$	$c_{24}$	$c_{25}$
3	$c_{31}$	$c_{32}$	$c_{33}$	$c_{34}$	$c_{35}$
4	$c_{41}$	$c_{42}$	$c_{43}$	$c_{44}$	$c_{45}$
5	$c_{51}$	$c_{52}$	$c_{53}$	$c_{54}$	$c_{55}$

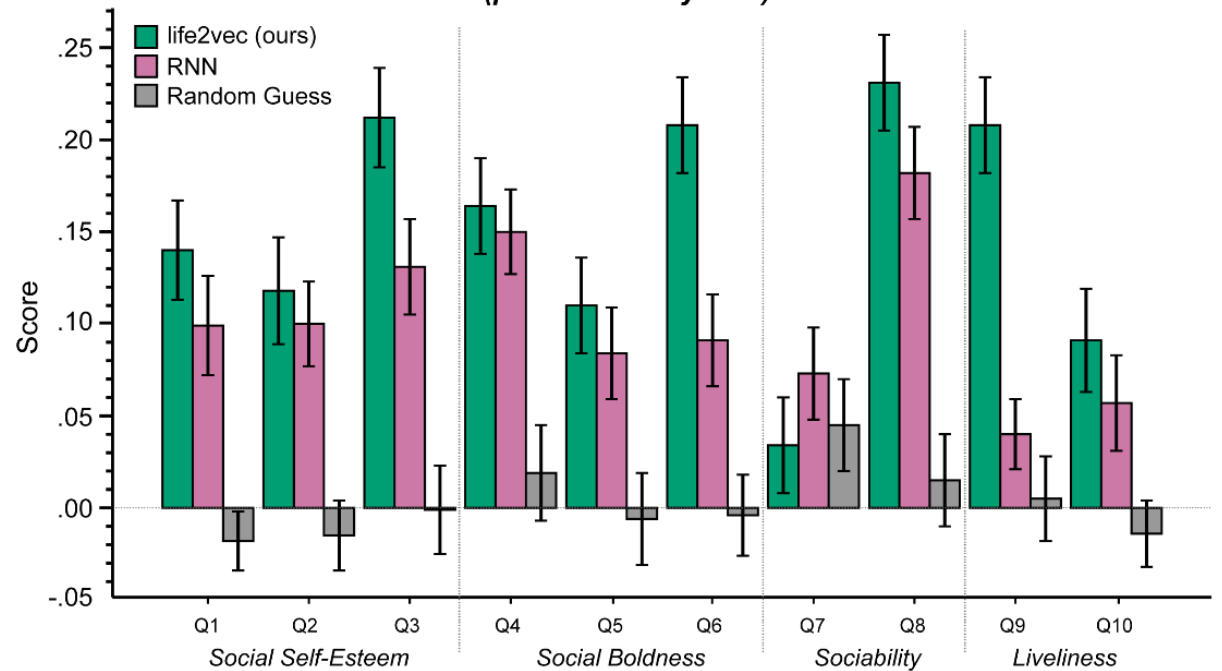
$$\kappa^2 = 1 - \frac{\sum_{i,j} w_{ij} \times c_{ij}}{\sum_{i,j} w_{ij} \times e_{ij}}$$

$$e_{ij} = \frac{\sum_k c_{ik} \times \sum_k c_{ki}}{N}$$

$$w_{ij} = \left( \frac{i - j}{K - 1} \right)^2$$

Accounts for the distance  
from predicted to target classes

Cohen's Quadratic Kappa Score with Standard Error  
(per Personality Item)

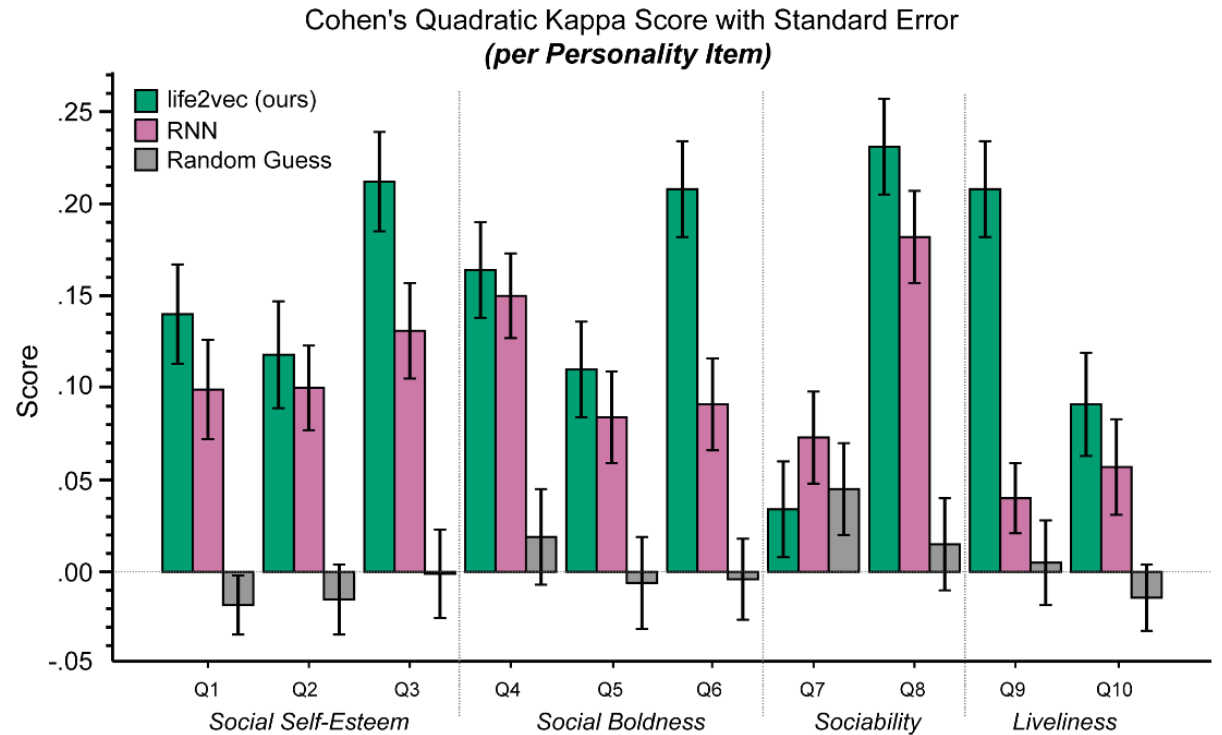


# Personality Data

## Questions:

6. Most people are more upbeat and dynamic than I generally am (liveliness)

7. The first thing that I always do in a new place is to make friends (social I)



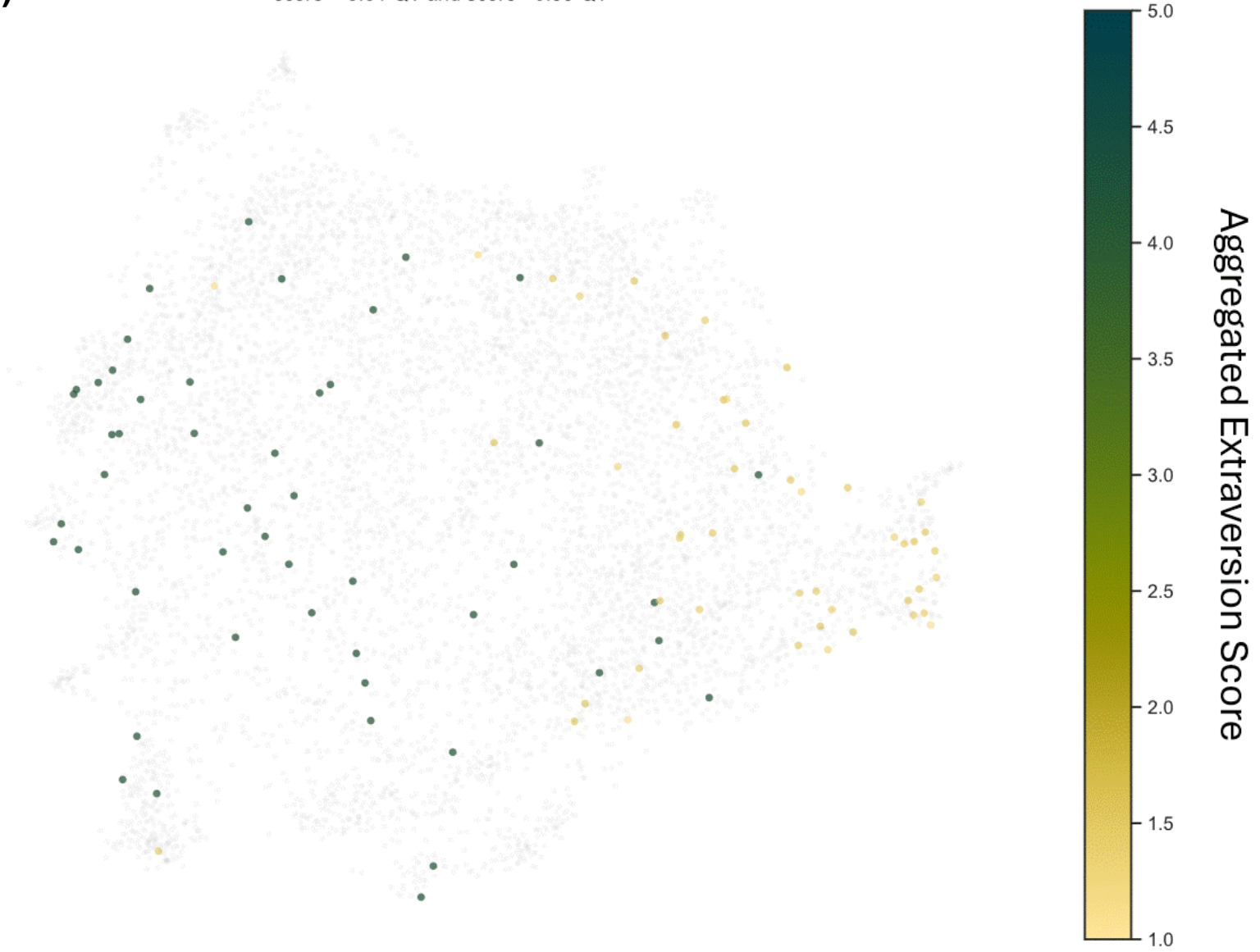


# Personality Summaries

(PaCMAP projection)

score < 0.01 QT and score > 0.99 QT

We can look at the low-dimensional space of life-summaries.



# What does it tell us?

## Performance:

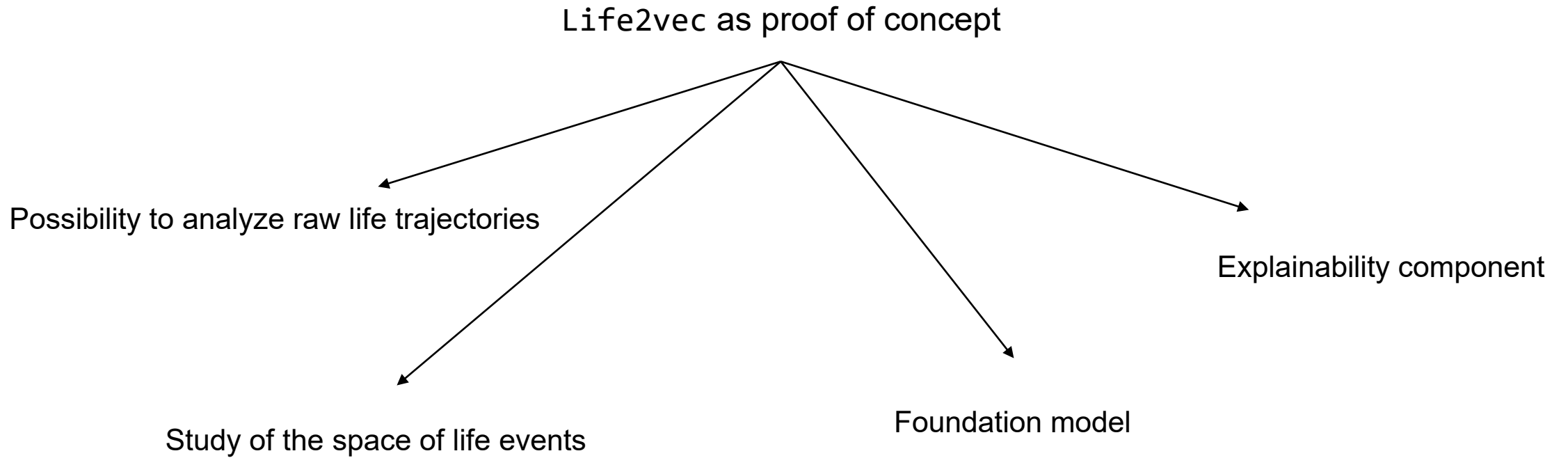
- You can use pretrained life2vec for downstream tasks
- Provides somewhat interpretable predictions
- Interpretations align with the literature

## Person-summaries:

- Meaningful space
- Can be used to study various phenomena

# Conclusion

# Conclusion



**Thank you for  
attention!**